### Edgar Filing: LINDNER CARL H III - Form 5

AMERICAN FINANCIAL GROUP

3. Statement for Issuer's Fiscal Year Ended

4. If Amendment, Date Original

LINDNER CARL H III Form 5 February 13, 2018 FORM 5

Check this box if

no longer subject

to Section 16.

5 obligations

may continue. See Instruction

1(b).

Reported

Form 4 Transactions Reported

Form 4 or Form

#### **OMB APPROVAL** OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 January 31, Expires: 2005 Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL burden hours per **OWNERSHIP OF SECURITIES** response... 1.0 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading

1. Name and Address of Reporting Person \* LINDNER CARL H III

Symbol

INC [AFG]

12/31/2017

(Month/Day/Year)

Filed(Month/Day/Year)

(Middle) (Last) (First) **301 EAST FOURTH STREET** 

(Street)

(State)

(Zin)

### CINCINNATI, OHÂ 45202

(City)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_X\_ Director 10% Owner \_\_X\_\_ Officer (give title Other (specify below) below) Co-CEO & Co-President

6. Individual or Joint/Group Reporting

(check applicable line)

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person

(City)	(State) (.	Table Table	e I - Non-Deri	vative Sec	curitie	s Acqu	ired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3,	l (A) c l of (D	))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount		Price	(Instr. 3 and 4)		
Common Stock	12/04/2017	Â	G	1,132	D	\$0	3,441,610	I	#1 <u>(1)</u>
Common Stock	12/28/2017	Â	G	7,343	D	\$0	3,434,267	Ι	#1 <u>(1)</u>
Common Stock	Â	Â	Â	Â	Â	Â	37,968	Ι	#2 <u>(2)</u>
Common Stock	Â	Â	Â	Â	Â	Â	1,248,500	Ι	#12 <u>(3)</u>

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Common Stock	Â	Â	Â	Â	Â	Â	1,428,174	Ι	#26 <u>(4)</u>
Common Stock	Â	Â	Â	Â	Â	Â	2,311,386	Ι	#29 <u>(5)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of informationSEC 2270contained in this form are not required to respond unless(9-02)the form displays a currently valid OMB control number.(9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day/	6. Date Exercisable and Expiration Date (Month/Day/Year)		le and unt of rlying iities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Of So B O E I S Fi (I
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships								
	Director 10% Owner Officer		Officer	Other					
LINDNER CARL H III 301 EAST FOURTH STREET CINCINNATI, OH 45202	ÂX	Â	Co-CEO & Co-President	Â					
Signatures									
Carl H. Lindner III By: Karl J. C Attorney-in-Fact	Brafe, as		02/13/2018						

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Indirect #1: CHL III, TTEE (or his Successors) of the Carl H. Lindner III Family Trust DTD 8/29/02 as Amended.
- (2) Indirect #2: Martha S. Lindner, (or her Successor) of the Martha S. Lindner Family Trust DTD 8/30/02, as amended.
- (3) Indirect #12: Seraphim Partners LLC fka CHL Investments, LLC
- (4) Indirect #26: CHL III 2010-1 Qualified Annuity Trust Dtd 4/9/10.

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(5) Indirect #29: Shares voting and dispositive power and holds a remainder interest in shares held by charitable lead annuity trust. The reporting person disclaims beneficial interest of the shares held by the trust except to the extent of his pecuniary interest in such shares.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.