Edgar Filing: WALL ROBERT T - Form 4

WALL ROB Form 4	ERT T										
December 21	, 2012										
FORM	4									PPROVAL	
	UNITED	STATES		ITIES A hington,			NGE (COMMISSION	OMB Number:	3235-0287	
Check thi if no long subject to Section 10 Form 4 or Form 5 obligatior may conti <i>See</i> Instru 1(b).	6. Filed pur Section 17(suant to sa) of the	Section 10	SECUR 6(a) of the ility Hold	ITIES e Securitie ling Com	es Ex pany	chang Act o	NERSHIP OF the Act of 1934, f 1935 or Sectio 40	Expires: Estimated a burden hou response n	rs per	
(Print or Type R	Responses)										
			2. Issuer Name and Ticker or Trading Symbol NetApp, Inc. [NTAP]				g	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)	3. Date of Earliest Transaction			(Check all applicable)					
(Mon			-	Month/Day/Year) 12/20/2012				X_ Director10% Owner Officer (give titleOther (specify below) below)			
				endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City)	(State)	(Zip)	Tabl	I Non D	animativa S		tion A or		f or Donoficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	e 2A. Dee Executio any		3.4. SecuritTransaction(A) or DisCode(D)(Instr. 8)(Instr. 3, 4)		rities Acquired Disposed of , 4 and 5) (A) or		Juired, Disposed of 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock	03/31/2016			Code V $F^{(1)}$	Amount 21,454	D	\$ 23 (2)	284,175 <u>(3)</u>	D		
Common Stock								51,714	I	Held in family trust <u>(4)</u>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: WALL ROBERT T - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Number Expiration Date of (Month/Day/Year) Derivative Securities Acquired A) or Disposed of (D) Instr. 3,		Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Rel	ationships	
	Director	10% Owner	Officer	Other
PHILLIPPY ROBERT J 1791 DEERE AVENUE IRVINE, CA 92606	Х		President & CEO	
Signatures				

/s/ Jeffrey B. Coyne, SVP & General Counsel, as attorney-in-fact for reporting	04/01/2016
person	04/01/2010
** Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares have been withheld in satisfaction of reporting person's tax withholding obligations resulting from the vesting of restricted stock units, which had been awarded to reporting person in 2013, 2014 and 2015 in accordance with Rule 16b-3(d).
- (2) Price reflects the per share value of the shares withheld based on the closing price of the issuer's common stock on the vesting date.
- (3) Includes a total of 2,382 shares acquired through the issuer's Employee Stock Purchase Plan on December 31, 2015 and March 31, 2016.
- (4) Shares are held by reporting person and his spouse as trustees of a family trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. g Owners

Reporting Owner Name / Address				
-	Director	10% Owner	Officer	Other
WATSON JAMES D	Х			
COLD SPRING HARBOR LAB				

Reporting Owners

1 BUNGTOWN RD - PO BOX 100

COLD SPRING HARBOR, NY 11724

Signatures

By: Mary Ann Bartlett as Attorney-in-Fact for James D. Watson

**Signature of Reporting Person

09/27/2005

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.