Van Houten Christina Form 4 May 02, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

**OMB** 

Number:

**OMB APPROVAL** 

3235-0287

0.5

burden hours per response...

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1. Name and Address of Reporting Person \* Van Houten Christina

Mimecast Ltd [MIME]

(Middle)

5. Relationship of Reporting Person(s) to Issuer

(Last)

1(b).

(First)

(Check all applicable)

C/O MIMECAST NORTH

3. Date of Earliest Transaction (Month/Day/Year) 05/01/2019

Symbol

Director 10% Owner X\_ Officer (give title Other (specify below)

AMERICA, INC., 191 SPRING **STREET** 

(Street)

4. If Amendment, Date Original

Chief Strategy Officer

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

LEXINGTON, MA 02421

(City)	(State) (Z	Zip) Table	I - Non-Do	erivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction(A) or I		r. 3, 4 and 5) (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Ordinary Shares	05/01/2019		Code V M	Amount 4,412 (1)	or (D)	Price (2)	(Instr. 3 and 4) 5,017	D	
Restricted Share Units	05/01/2019		F	1,838 (3)	D	\$ 51.82 (4)	23,162 (5)	D	
Restricted Share Units	05/01/2019		M	4,412 (6)	D	<u>(2)</u>	18,750 <u>(5)</u>	D	
Restricted Share Units							14,000 (7)	D	

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(e.g., puts, calls, warrants, options, convertible securities)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	tiorNumber	Expiration D	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	) Derivative	e		Securit	ties	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
									or	
							Expiration		Number	
							Date		of	
				Code '	V (A) (D)				Shares	
				Code	v (A) (D)				Shares	

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Van Houten Christina C/O MIMECAST NORTH AMERICA, INC. 191 SPRING STREET LEXINGTON, MA 02421

Chief Strategy Officer

### **Signatures**

/s/ Robert P. Nault, 05/02/2019 Attorney-in-Fact

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of Ordinary Shares reflects the settlement of restricted share units upon vesting, net of Ordinary Shares that were retained **(1)** (but not issued) by the Issuer in satisfaction of tax withholding obligations associated with the vesting of such units.
- Each restricted share unit represents a contingent right to receive one share of the Issuer's ordinary shares. **(2)**
- The restricted share units reported as disposed of herein reflect the number of Ordinary Shares underlying the restricted share units that (3)were retained (but not issued) by the Issuer in satisfaction of tax withholding obligations associated with the vesting of such units.

Price reflects the closing price of the Issuer's Ordinary Shares on the Nasdaq Global Select Market on May 1, 2019. **(4)** 

Reporting Owners 2

9. Nu Deriv Secu

**SEC 1474** 

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- These restricted share units were granted on May 1, 2018. Twenty-five percent (25%) of the units vested on May 1, 2019 and the remainder of the units vest on an annual basis over the next three (3) years, provided that the Reporting Person remains an employee of the Issuer on such vesting date.
- (6) The restricted share units reported as disposed of herein were settled for the Issuer's Ordinary Shares upon the vesting of such units.
  - These restricted share units were granted on April 1, 2019. Twenty-five percent (25%) of the units vest on April 1, 2020 and the
- (7) remainder of the units vest on an annual basis over the next three (3) years, provided that the Reporting Person remains an employee of the Issuer on such vesting date.

#### **Remarks:**

Exhibit 24 Power of Attorney (incorporated by reference to Power of Attorney filed as Exhibit 24 to Form 3 filed by the Repo Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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