

GREAT SOUTHERN BANCORP INC

Form 4

November 21, 2016

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MITCHEM STEVEN G

(Last) (First) (Middle)

CARE OF GREAT SOUTHERN  
BANK, 218 S. GLENSTONE  
AVENUE

(Street)

SPRINGFIELD, MO 65802

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol

GREAT SOUTHERN BANCORP  
INC [GSBC]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/17/2016

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
Senior Vice Pres of Subsidiary

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                    | V                                                                   | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                |                                                                   |
| Common<br>stock                       | 11/17/2016                              |                                                             | M                                       |                                                                     | 550                                                                                                                | A \$ 19.53                                                           | 59,856 D                                                          |
| Common<br>stock                       | 11/17/2016                              |                                                             | S                                       |                                                                     | 550                                                                                                                | D \$<br>48.505                                                       | 59,306 D                                                          |
| Common<br>stock                       | 11/18/2016                              |                                                             | M                                       |                                                                     | 500                                                                                                                | A \$ 19.53                                                           | 59,806 D                                                          |
| Common<br>stock                       | 11/18/2016                              |                                                             | S                                       |                                                                     | 500                                                                                                                | A \$ 48.6                                                            | 59,306 D                                                          |
|                                       |                                         |                                                             |                                         |                                                                     |                                                                                                                    | 30,202                                                               | I Spouse                                                          |

# Edgar Filing: GREAT SOUTHERN BANCORP INC - Form 4

Common  
stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                        | 8. Pri<br>Deriv<br>Secu<br>(Instr |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|----------------------------------------|-----------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                               | Amount<br>or<br>Number<br>of<br>Shares |                                   |
| Option to<br>purchase                               | \$ 19.53                                                           | 11/17/2016                              |                                                             | M                                    | 550                                                                                                                | <u>(1)</u>                                                     | 11/16/2021         | Common<br>Stock                                                     | 1,050                                  | \$ 1                              |
| Option to<br>purchase                               | \$ 19.53                                                           | 11/18/2016                              |                                                             | M                                    | 500                                                                                                                | <u>(1)</u>                                                     | 11/16/2021         | Common<br>stock                                                     | 500                                    | \$ 1                              |
| Option to<br>purchase                               | \$ 24.82                                                           |                                         |                                                             |                                      |                                                                                                                    | <u>(2)</u>                                                     | 11/28/2022         | Common<br>stock                                                     | 2,100                                  |                                   |
| Option to<br>purchase                               | \$ 29.64                                                           |                                         |                                                             |                                      |                                                                                                                    | <u>(3)</u>                                                     | 12/18/2023         | Common<br>stock                                                     | 3,150                                  |                                   |
| Option to<br>purchase                               | \$ 32.59                                                           |                                         |                                                             |                                      |                                                                                                                    | <u>(4)</u>                                                     | 10/15/2024         | Common<br>stock                                                     | 3,150                                  |                                   |
| Option to<br>purchase                               | \$ 50.71                                                           |                                         |                                                             |                                      |                                                                                                                    | <u>(5)</u>                                                     | 11/18/2025         | Common<br>Stock                                                     | 4,200                                  |                                   |

## Reporting Owners

| Reporting Owner Name / Address                                             | Relationships                     |
|----------------------------------------------------------------------------|-----------------------------------|
|                                                                            | Director 10% Owner Officer Other  |
| MITCHEM STEVEN G<br>CARE OF GREAT SOUTHERN BANK<br>218 S. GLENSTONE AVENUE | Senior Vice Pres of<br>Subsidiary |

SPRINGFIELD, MO 65802

## Signatures

Matt Snyder, Attorney-in-fact for Steven G.  
Mitchem

11/21/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) 1,050 shares vest on 11/16/2016
- (2) 1,050 shares vest on 11/28/2016 and 11/28/2017
- (3) 1,050 shares vest on 12/18/2016, 12/18/2017 and 12/18/2018
- (4) 1,050 shares vest on 10/15/2017, 10/15/2018 and 10/15/2019
- (5) 1,050 shares vest on 11/18/2017, 11/18/2018, 11/18/2019 and 11/18/2020

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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