#### **CARLSON THOMAS J**

Form 4 May 14, 2018

## FORM 4

### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person
CARLSON THOMAS J

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

GREAT SOUTHERN BANCORP, INC. [GSBC]

(Check all applicable)

(Middle) 3. Date of Earliest Transaction

(Zip)

(Month/Day/Year)

Director 10% Owner Other (specify Officer (give title

04/17/2018

CARE OF GREAT SOUTHERN BANK, 218 S. GLENSTONE AVE

(Street)

(State)

(First)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

#### SPRINGFIELD, MO 65802

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1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	, , ,			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
stock									
Common stock	04/17/2018		J <u>(1)</u> V	72	A	\$ 51.9175	13,408	I	Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price Deriva Securit (Instr.:
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to purchase	\$ 32.59					(2)	10/15/2024	Common stock	5,000	
Option to purchase	\$ 41.3					(3)	10/24/2026	Common Stock	2,000	
Option to purchase	\$ 52.2					<u>(4)</u>	11/15/2027	Common Stock	2,000	

## **Reporting Owners**

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			

CARLSON THOMAS J CARE OF GREAT SOUTHERN BANK 218 S. GLENSTONE AVE SPRINGFIELD, MO 65802

### **Signatures**

Matt Snyder, Attorney-in-fact for Thomas J.
Carlson 05/14/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DRIP acquisition exempt from Section 16 reporting being reported voluntarily
- (2) 1,250 shares vest on 10/15/2016, 10/15/2017, 10/15/2018 and 10/15/2019
- (3) 500 shares vest on 10/24/2018, 10/24/2019, 10/24/2020 and 10/24/2021
- (4) 500 shares on 11/15/2019, 11/15/2020, 11/15/2021 and 11/15/2022

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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