

HORACE MANN EDUCATORS CORP /DE/
Form 4
June 12, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CAPARROS ANN M

(Last) (First) (Middle)
1 HORACE MANN PLAZA
(Street)
SPRINGFIELD, IL 62715
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HORACE MANN EDUCATORS CORP /DE/ [HMN]

3. Date of Earliest Transaction (Month/Day/Year)
06/10/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
General Counsel & CCO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock	06/10/2013		M		7,924	A	\$ 20.23
							46,703.013 <u>(1)</u>
Common Stock	06/10/2013		M		10,568	A	\$ 16.81
							57,271.013 <u>(2)</u>
Common Stock	06/10/2013		M		9,138	A	\$ 6.91
							66,409.013 <u>(3)</u>
Common Stock	06/10/2013		M		9,066	A	\$ 13.83
							75,475.013 <u>(4)</u>
Common Stock	06/10/2013		S		36,696	D	\$ 24.8438 <u>(5)</u>
							38,779.013 <u>(6)</u>

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 20.23	06/10/2013		M	7,924	03/06/2011 03/06/2014	Common Stock 7,924
Employee Stock Option (right to buy)	\$ 16.81	06/10/2013		M	10,568	03/05/2012 03/05/2015	Common Stock 10,568
Employee Stock Option (right to buy)	\$ 6.91	06/10/2013		M	9,138	03/04/2013 03/04/2016	Common Stock 9,138
Employee Stock Option (right to buy)	\$ 13.83	06/10/2013		M	9,066	03/03/2013 03/03/2017	Common Stock 9,066

Reporting Owners

Reporting Owner Name / Address

Relationships

CAPARROS ANN M
1 HORACE MANN PLAZA

Director 10% Owner Officer Other
General Counsel & CCO

SPRINGFIELD, IL 62715

Signatures

Linea K. Michael, Attorney in Fact for Ann M.
Caparros

06/12/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 26,807.465 restricted stock units, 11,954.005 deferred Common Stock equivalent units and 7,941.543 shares of Common Stock.
- (2) Represents 26,807.465 restricted stock units, 11,954.005 deferred Common Stock equivalent units and 18,509.543 shares of Common Stock.
- (3) Represents 26,807.465 restricted stock units, 11,954.005 deferred Common Stock equivalent units and 27,647.543 shares of Common Stock.
- (4) Represents 26,807.465 restricted stock units, 11,954.005 deferred Common Stock equivalent units and 36,713.543 shares of Common Stock.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$24.76 to \$24.90.
- (6) Represents 26,807.465 restricted stock units, 11,954.005 deferred Common Stock equivalent units and 17.543 shares of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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