

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

SPECIAL OPPORTUNITIES FUND, INC.

Form NSAR-A

August 28, 2014

000 A000000 06/30/2014  
000 C000000 0000897802  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 Special Opportunities Fund, Inc.  
001 B000000 811-07528  
001 C000000 8776070414  
002 A000000 615 East Michigan Street  
002 B000000 Milwaukee  
002 C000000 WI  
002 D010000 53202  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
008 A000001 BULLDOG INVESTORS, LLC  
008 B000001 A  
008 C000001 801-70596  
008 D010001 SADDLE BROOK  
008 D020001 NJ  
008 D030001 07663  
010 A000001 U.S. BANCORP FUND SERVICES, LLC  
010 B000001 85-11357  
010 C010001 MILWAUKEE  
010 C020001 WI  
010 C030001 53202  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 TAIT, WELLER & BAKER LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
013 B030001 19103  
015 A000001 U.S. BANK, N.A.  
015 B000001 C  
015 C010001 MILWAUKEE  
015 C020001 WI  
015 C030001 53212  
015 E010001 X  
018 000000 Y  
019 A000000 N  
019 B000000  
020 A000001 MERLIN SECURITIES, LLC  
020 B000001 84-1642266  
020 C000001 49  
020 A000002 CANTOR FITZGERALD & CO.  
020 B000002 13-3680184  
020 C000002 26  
020 A000003 WALLACH BETH  
020 B000003 00-0000000

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

020 C000003 23  
020 A000004 RAYMOND JAMES & ASSOCIATES, INC.  
020 B000004 59-1237041  
020 C000004 12  
020 A000005 COMPASS POINT RESEARCH & TRADING, LLC  
020 B000005 43-593202  
020 C000005 5  
020 A000006 NATIONAL FINANCIAL SERVICES CORP.  
020 B000006 00-0000000  
020 C000006 4  
020 A000007 IMPERIAL CAPITAL, LLC  
020 B000007 95-4644495  
020 C000007 3  
020 A000008 MAXIM FINANCIAL CORP.  
020 B000008 00-0000000  
020 C000008 2  
020 A000009 CREDIT RESEARCH & TRADING, LLC  
020 B000009 00-0000000  
020 C000009 2  
020 A000010 LEGENT CLEARING LLC  
020 B000010 77-0616239  
020 C000010 1  
021 000000 128  
022 A000001 BANK OF NEW YORK  
022 B000001 00-0000000  
022 C000001 2941  
022 D000001 0  
022 A000002 WEDBUSH MORGAN SECURITIES, INC.  
022 B000002 95-2495390  
022 C000002 1608  
022 D000002 0  
022 A000003 MAXIM FINANCIAL CORP.  
022 B000003 04-3640096  
022 C000003 767  
022 D000003 289  
022 A000004 DEUTSCHE BANK TRUST CO.  
022 B000004 13-3818112  
022 C000004 652  
022 D000004 0  
022 A000005 R.W. PRESSPRICH & CO., INC.  
022 B000005 13-3570685  
022 C000005 461  
022 D000005 0  
022 A000006 LADENBURG THALMAN & CO.  
022 B000006 13-2700032  
022 C000006 460  
022 D000006 0  
023 C000000 6889  
023 D000000 289  
024 000000 N  
026 A000000 N  
026 B000000 Y  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 N  
026 G010000 N  
026 G020000 N  
026 H000000 N  
027 000000 N  
045 000000 Y  
046 000000 N

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

|     |         |       |     |
|-----|---------|-------|-----|
| 047 | 000000  | Y     |     |
| 048 | 000000  | 1.000 |     |
| 048 | A010000 |       | 0   |
| 048 | A020000 | 0.000 |     |
| 048 | B010000 |       | 0   |
| 048 | B020000 | 0.000 |     |
| 048 | C010000 |       | 0   |
| 048 | C020000 | 0.000 |     |
| 048 | D010000 |       | 0   |
| 048 | D020000 | 0.000 |     |
| 048 | E010000 |       | 0   |
| 048 | E020000 | 0.000 |     |
| 048 | F010000 |       | 0   |
| 048 | F020000 | 0.000 |     |
| 048 | G010000 |       | 0   |
| 048 | G020000 | 0.000 |     |
| 048 | H010000 |       | 0   |
| 048 | H020000 | 0.000 |     |
| 048 | I010000 |       | 0   |
| 048 | I020000 | 0.000 |     |
| 048 | J010000 |       | 0   |
| 048 | J020000 | 0.000 |     |
| 048 | K010000 |       | 0   |
| 048 | K020000 | 0.000 |     |
| 049 | 000000  | N     |     |
| 050 | 000000  | N     |     |
| 051 | 000000  | N     |     |
| 052 | 000000  | N     |     |
| 053 | A000000 | Y     |     |
| 053 | B000000 | N     |     |
| 053 | C000000 | N     |     |
| 054 | A000000 | Y     |     |
| 054 | B000000 | Y     |     |
| 054 | C000000 | N     |     |
| 054 | D000000 | N     |     |
| 054 | E000000 | N     |     |
| 054 | F000000 | N     |     |
| 054 | G000000 | Y     |     |
| 054 | H000000 | Y     |     |
| 054 | I000000 | N     |     |
| 054 | J000000 | Y     |     |
| 054 | K000000 | N     |     |
| 054 | L000000 | N     |     |
| 054 | M000000 | Y     |     |
| 054 | N000000 | N     |     |
| 054 | O000000 | Y     |     |
| 055 | A000000 | N     |     |
| 055 | B000000 | N     |     |
| 056 | 000000  | N     |     |
| 057 | 000000  | Y     |     |
| 058 | A000000 | N     |     |
| 059 | 000000  | Y     |     |
| 060 | A000000 | Y     |     |
| 060 | B000000 | Y     |     |
| 061 | 000000  |       | 0   |
| 062 | A000000 | N     |     |
| 062 | B000000 |       | 0.0 |
| 062 | C000000 |       | 0.0 |
| 062 | D000000 |       | 0.0 |
| 062 | E000000 |       | 0.0 |
| 062 | F000000 |       | 0.0 |
| 062 | G000000 |       | 0.0 |

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

|     |         |        |
|-----|---------|--------|
| 062 | H000000 | 0.0    |
| 062 | I000000 | 0.0    |
| 062 | J000000 | 0.0    |
| 062 | K000000 | 0.0    |
| 062 | L000000 | 0.0    |
| 062 | M000000 | 0.0    |
| 062 | N000000 | 0.0    |
| 062 | O000000 | 0.0    |
| 062 | P000000 | 0.0    |
| 062 | Q000000 | 0.0    |
| 062 | R000000 | 0.0    |
| 066 | A000000 | Y      |
| 066 | B000000 | N      |
| 066 | C000000 | N      |
| 066 | D000000 | N      |
| 066 | E000000 | N      |
| 066 | F000000 | N      |
| 066 | G000000 | Y      |
| 067 | 000000  | N      |
| 068 | A000000 | N      |
| 068 | B000000 | N      |
| 069 | 000000  | N      |
| 070 | A010000 | Y      |
| 070 | A020000 | N      |
| 070 | B010000 | Y      |
| 070 | B020000 | N      |
| 070 | C010000 | Y      |
| 070 | C020000 | N      |
| 070 | D010000 | Y      |
| 070 | D020000 | N      |
| 070 | E010000 | Y      |
| 070 | E020000 | N      |
| 070 | F010000 | Y      |
| 070 | F020000 | N      |
| 070 | G010000 | Y      |
| 070 | G020000 | N      |
| 070 | H010000 | Y      |
| 070 | H020000 | N      |
| 070 | I010000 | N      |
| 070 | I020000 | N      |
| 070 | J010000 | Y      |
| 070 | J020000 | Y      |
| 070 | K010000 | Y      |
| 070 | K020000 | Y      |
| 070 | L010000 | Y      |
| 070 | L020000 | Y      |
| 070 | M010000 | Y      |
| 070 | M020000 | Y      |
| 070 | N010000 | Y      |
| 070 | N020000 | N      |
| 070 | O010000 | Y      |
| 070 | O020000 | N      |
| 070 | P010000 | Y      |
| 070 | P020000 | N      |
| 070 | Q010000 | Y      |
| 070 | Q020000 | N      |
| 070 | R010000 | Y      |
| 070 | R020000 | Y      |
| 071 | A000000 | 52220  |
| 071 | B000000 | 54689  |
| 071 | C000000 | 172226 |
| 071 | D000000 | 30     |

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

|             |        |
|-------------|--------|
| 072 A000000 | 6      |
| 072 B000000 | 205    |
| 072 C000000 | 1053   |
| 072 D000000 | 0      |
| 072 E000000 | 0      |
| 072 F000000 | 881    |
| 072 G000000 | 62     |
| 072 H000000 | 24     |
| 072 I000000 | 13     |
| 072 J000000 | 25     |
| 072 K000000 | 0      |
| 072 L000000 | 32     |
| 072 M000000 | 67     |
| 072 N000000 | 15     |
| 072 O000000 | 0      |
| 072 P000000 | 0      |
| 072 Q000000 | 25     |
| 072 R000000 | 24     |
| 072 S000000 | 107    |
| 072 T000000 | 0      |
| 072 U000000 | 0      |
| 072 V000000 | 0      |
| 072 W000000 | 49     |
| 072 X000000 | 1324   |
| 072 Y000000 | 143    |
| 072 Z000000 | 77     |
| 072AA000000 | 8217   |
| 072BB000000 | 0      |
| 072CC010000 | 1454   |
| 072CC020000 | 0      |
| 072DD010000 | 0      |
| 072DD020000 | 0      |
| 072EE000000 | 0      |
| 073 A010000 | 0.0000 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 275    |
| 074 B000000 | 0      |
| 074 C000000 | 10999  |
| 074 D000000 | 6341   |
| 074 E000000 | 6110   |
| 074 F000000 | 29649  |
| 074 G000000 | 0      |
| 074 H000000 | 0      |
| 074 I000000 | 132476 |
| 074 J000000 | 2242   |
| 074 K000000 | 0      |
| 074 L000000 | 539    |
| 074 M000000 | 1691   |
| 074 N000000 | 190322 |
| 074 O000000 | 3443   |
| 074 P000000 | 150    |
| 074 Q000000 | 0      |
| 074 R010000 | 0      |
| 074 R020000 | 1778   |
| 074 R030000 | 0      |
| 074 R040000 | 182    |
| 074 S000000 | 0      |
| 074 T000000 | 184769 |
| 074 U010000 | 10165  |
| 074 U020000 | 0      |

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

|           |              |              |
|-----------|--------------|--------------|
| 074       | V010000      | 18.18        |
| 074       | V020000      | 0.00         |
| 074       | W000000      | 0.0000       |
| 074       | X000000      | 175          |
| 074       | Y000000      | 0            |
| 075       | A000000      | 0            |
| 075       | B000000      | 167398       |
| 076       | 000000       | 16.70        |
| 077       | A000000      | Y            |
| 077       | B000000      | N            |
| 077       | C000000      | Y            |
| 077       | D000000      | N            |
| 077       | E000000      | N            |
| 077       | F000000      | N            |
| 077       | G000000      | N            |
| 077       | H000000      | N            |
| 077       | I000000      | N            |
| 077       | J000000      | N            |
| 077       | K000000      | N            |
| 077       | L000000      | N            |
| 077       | M000000      | N            |
| 077       | N000000      | N            |
| 077       | O000000      | N            |
| 077       | P000000      | N            |
| 077       | Q010000      | N            |
| 077       | Q020000      | N            |
| 077       | Q030000      | N            |
| 078       | 000000       | N            |
| 080       | C000000      |              |
| 086       | A010000      | 338          |
| 086       | A020000      | 5740         |
| 086       | B010000      | 0            |
| 086       | B020000      | 0            |
| 086       | C010000      | 0            |
| 086       | C020000      | 0            |
| 086       | D010000      | 0            |
| 086       | D020000      | 0            |
| 086       | E010000      | 0            |
| 086       | E020000      | 0            |
| 086       | F010000      | 0            |
| 086       | F020000      | 0            |
| 087       | A010000      | COMMON STOCK |
| 087       | A020000      | 84741T104    |
| 087       | A030000      | SPE          |
| 088       | A000000      | Y            |
| 088       | B000000      | N            |
| 088       | C000000      | N            |
| 088       | D000000      | Y            |
| SIGNATURE | Andrew Dakos |              |
| TITLE     | President    |              |