

Burns Monte Wray  
Form 4  
March 14, 2013

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Burns Monte Wray

2. Issuer Name and Ticker or Trading Symbol  
PGT, Inc. [PGTI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
C/O PGT INDUSTRIES, 1070  
TECHNOLOGY DRIVE

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/12/2013

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Vice President

(Street)  
NORTH VENICE, FL 34275

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, par value \$0.01 per share	03/12/2013		M		90,000	A	\$ 2
Common Stock, par value \$0.01 per share	03/12/2013		M		14,674	A	\$ 0.92
Common Stock, par value \$0.01 per share	03/12/2013		F		49,652 <u>(1)</u>	D	\$ 6.77

Edgar Filing: Burns Monte Wray - Form 4

Common Stock, par value \$0.01 per share	03/12/2013	S	12,223	D	\$ 6.69	53,670	D
Common Stock, par value \$0.01 per share	03/12/2013	S	9,411	D	\$ 6.79	44,259	D
Common Stock, par value \$0.01 per share	03/12/2013	S	33,388	D	\$ 6.8	10,871	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to buy)	\$ 2	03/12/2013		M	90,000	<u>(2)</u> 04/06/2020	Common Stock	90,000
Stock Option (Right to Buy)	\$ 0.92	03/12/2013		M	14,674	<u>(3)</u> 01/21/2019	Common Stock	14,674

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
			Vice President	

Burns Monte Wray  
C/O PGT INDUSTRIES  
1070 TECHNOLOGY DRIVE  
NORTH VENICE, FL 34275

## Signatures

/s/ Monte Burns                      03/14/2013

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) Reflects 49,652 shares withheld at market price of \$6.77 per share less the exercise price of \$2.00 per share to fund the cashless exercise of 90,000 options and the exercise price of \$0.92 per share to fund the cashless exercise of 14,674 options owned by Mr. Burns and the tax liability associated therewith.
  - (2) (2) Exercisable in five equal installments beginning on April 6, 2011 and each anniversary thereafter.
  - (3) (3) Fully exercisable as of January 29, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.