Edgar Filing: PLOUF TRICIA - Form 4

| PLOUF TRI Form 4 February 25, | | | | | | | | | | |
|--|---------------------------------------|---|--|------------|---|--|---|--|-----------|--|
| FORM | 14 | | | | | | | - | PPROVAL | |
| | UNITED | box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | OMB Number: | 3235-0287 | |
| Check thi if no long subject to Section 1 Form 4 o | ger STATEM 6. r | | | | | | | Expires:January 31200Estimated averageburden hours perresponse0. | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | |
| (Print or Type F | Responses) | | | | | | | | | |
| 1. Name and A PLOUF TR | ddress of Reporting FICIA | 2. Issuer Name and Ticker or Trading Symbol TRUPANION, INC. [TRUP] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (T) | | | | - | JIJ | | (Chec | ck all applicable | e) | |
| (Month/ | | | Date of Earliest Transaction onth/Day/Year) /21/2019 | | | | Director 10% Owner X Officer (give title Other (specify below) Chief Financial Officer | | | |
| | (Street) | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | | |
| SEATTLE, | WA 98108 | | | | | | Form filed by N Person | | | |
| (City) | (State) | (Zip) | Table I - Non- | Derivative | Secur | ities Aco | quired, Disposed of | f, or Beneficial | lly Owned | |
| 1.Title of Security (Instr. 3) | Security (Month/Day/Year) Execution D | | Date, if Transaction(A) or Disposed of Code (D) | | | Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| | | | Code V | / Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 02/21/2019 | | М | 1,300 | А | \$ 8.74 | 1,300 | D | | |
| Common Stock | 02/21/2019 | | S <u>(1)</u> | 1,300 | D | \$ 30 | 0 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. H Der Sec (In: |
|---|---|---|---|--|---|--|--------------------|---|--|----------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (right to buy) | \$ 8.74 | 02/21/2019 | | М | 1,300 | (2) | 09/26/2024 | Common Stock | 1,300 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|-------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| PLOUF TRICIA C/O TRUPANION, INC. 6100 4TH AVENUE SOUTH, SUITE 200 SEATTLE, WA 98108 | | | Chief Financial Officer | | | |
| Signatures | | | | | | |
| /s/ Charlotte Sim-Warner as attorney-in-fact Plouf | for Tricia | 1 | 02/25/2019 | | | |
| ** Signature of Reporting Person | | | Date | | | |
| Explanation of Response | es: | | | | | |

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The sale reported was effected pursuant to a Rule 10b5-1 trading plan adopted by reporting person on August 29, 2018, in order to(1) implement a plan of financial diversification. Accordingly, the reporting person had no discretion with regard to the timing of the transaction.

(2) This stock option grant is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.