

KOOB CHARLES E
Form 5
February 08, 2019

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
KOOB CHARLES E

2. Issuer Name and Ticker or Trading Symbol
MIMEDX GROUP, INC. [MDXG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O MIMEDX GROUP, INC., 1775 WEST OAK COMMONS COURT, NE

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

MARIETTA, GA 30062

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|---|
| Common Stock | 05/01/2017 | | J ⁽¹⁾ | 140,168 D | \$ 0 1,320,460 | D | |
| Common Stock | 06/08/2017 | | J ⁽¹⁾ | 597,306 D | \$ 0 723,154 | D | |
| Common Stock | 05/01/2017 | | J ⁽¹⁾ | 140,168 A | \$ 0 140,168 | I | by Family Trust |
| Common | 06/08/2017 | | J ⁽¹⁾ | 597,306 A | \$ 0 737,474 | I | by Family |

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Stock

Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option | \$ 1.2 | Â | Â | Â | Â Â | 05/11/2011 05/10/2020 | Common Stock | 15,000 |
| Stock Option | \$ 1.1 | Â | Â | Â | Â Â | 12/14/2012 12/13/2021 | Common Stock | 15,000 |
| Stock Option | \$ 2.94 | Â | Â | Â | Â Â | 10/31/2013 10/30/2022 | Common Stock | 15,000 |
| Stock Option | \$ 6.6 | Â | Â | Â | Â Â | 05/09/2014 05/08/2023 | Common Stock | 15,000 |
| Stock Option | \$ 6.28 | Â | Â | Â | Â Â | 07/28/2015 07/27/2024 | Common Stock | 15,000 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

KOOB CHARLES E
C/O MIMEDX GROUP, INC.
1775 WEST OAK COMMONS COURT, NE
MARIETTA, GA 30062

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Signatures

Alexandra O. Haden as power of attorney for Charles E. Koob

02/08/2019

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Transaction represents a mere change in form of beneficial ownership - transfer of shares from direct holdings to a family trust.

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