

LPL Financial Holdings Inc.  
Form 8-K  
July 13, 2016

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF  
THE SECURITIES EXCHANGE ACT OF 1934

July 7, 2016

Date of report (date of earliest event reported)

LPL Financial Holdings Inc.  
(Exact name of registrant as specified in its charter)

Delaware  
(State or other jurisdictions of incorporation or  
organization)

001-34963  
(Commission File  
Number)

20-3717839  
(I.R.S. Employer Identification  
Nos.)

75 State Street  
Boston MA 02109  
(Address of principal executive offices) (Zip Code)

(617) 423-3644  
(Registrant's telephone number, including area code)

N/A  
(Former Name or Former Address, if Changed since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrants under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))



Departure of  
Directors or  
Certain  
Officers;  
Election of  
Directors;

Item 5.02 Appointment  
of Certain  
Officers;  
Compensatory  
Arrangements  
of Certain  
Officers.

(b) On July 7, 2016, LPL Financial Holdings Inc. (the “Company”) was notified by Jeffrey R. Buchheister of his decision to leave the Company. Effective as of August 1, 2016, Mr. Buchheister will cease serving as the Company’s principal accounting officer. Mr. Buchheister’s decision is not the result of any disagreement with the Company regarding the Company’s financial reporting or accounting policies, procedures, estimates or judgments. Effective upon Mr. Buchheister’s departure, Matthew J. Audette, the Company’s chief financial officer, will assume Mr. Buchheister’s responsibilities as the Company’s principal accounting officer.

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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

LPL FINANCIAL  
HOLDINGS INC.

By:        /s/  
          David P.  
          Bergers  
          Name:  
          David P.  
          Bergers  
          Title:  
          General  
          Counsel

Dated: July 13, 2016