

Barton Lisa M
Form 4
May 02, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Barton Lisa M

2. Issuer Name and Ticker or Trading Symbol
AMERICAN ELECTRIC POWER CO INC [AEP]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
AMERICAN ELECTRIC POWER, 1 RIVERSIDE PLAZA
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
05/01/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Executive Vice President

COLUMBUS, OH 43215

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-------|---|
| | | | | Code | V | Amount | (A) or (D) | Price | |
| Common Stock | 05/01/2018 | | M | | 1,894 (1) | A | \$ 68.63 (4) | 3,507 | D |
| Common Stock | 05/01/2018 | | F | | 859 | D | \$ 68.63 (4) | 2,648 | D |
| Common Stock | 05/01/2018 | | D | | 1,035 | D | \$ 68.63 (4) | 1,613 | D |
| Common | 05/01/2018 | | M | | 1,439 | A | \$ | 3,052 | D |

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| | | | | | | | | |
|--------------|------------|--|---|----------------|---|------------|-------|---|
| Stock | | | | <u>(2)</u> | | 68.63 | | |
| | | | | | | <u>(4)</u> | | |
| | | | | | | \$ | | |
| Common Stock | 05/01/2018 | | F | 653 | D | 68.63 | 2,399 | D |
| | | | | | | <u>(4)</u> | | |
| | | | | | | \$ | | |
| Common Stock | 05/01/2018 | | D | 786 | D | 68.63 | 1,613 | D |
| | | | | | | <u>(4)</u> | | |
| | | | | | | \$ | | |
| Common Stock | 05/01/2018 | | F | 732 <u>(3)</u> | D | 69.44 | 881 | D |
| | | | | | | <u>(5)</u> | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock Units | <u>(1)</u> | 05/01/2018 | | M | 1,894 | 05/01/2018 | 05/01/2018 | Common Stock | 1,894 |
| Restricted Stock Units | <u>(2)</u> | 05/01/2018 | | M | 1,439 | 05/01/2018 | 05/01/2018 | Common Stock | 1,439 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Barton Lisa M AMERICAN ELECTRIC POWER | | | Executive Vice President | |

1 RIVERSIDE PLAZA
COLUMBUS, OH 43215

Signatures

/s/ Thomas G. Berkemeyer, Attorney-in-Fact for Lisa M.
Barton

05/03/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A portion of Ms. Barton's restricted stock units (1,894) that were granted on 2/24/2015 vested on 5/1/2018. Upon vesting, 859 restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash.
- (2) A portion of Ms. Barton's restricted stock units (1,439) that were granted on 2/23/2016 vested on 5/1/2018. Upon vesting, 653 restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash.
- (3) A portion of Ms. Barton's restricted stock units (1,613) that were granted on 2/20/2017 vested on 5/1/2018. Upon vesting, 732 restricted stock units were withheld to satisfy the reporting person's tax liability.
- (4) Value is based on 20 day average stock closing price.
- (5) Value is based on the closing price of the stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.