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ENERGY FOCUS, INC/DE

Form 3

August 09, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

 5 Elements Energy Efficiency Ltd.

(Last)

Statement

(Month/Day/Year)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol ENERGY FOCUS, INC/DE [EFOI]

02/27/2012

(First) (Middle) 4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

P.O. BOX 3444, ROAD **TOWN**

(Street)

Director Officer

__X__ 10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person Form filed by More than One Reporting Person

TORTOLA, BRITISH VIRGIN ISLANDÂ

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form:

(Check all applicable)

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Â

Common Stock 3,800,000

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

4.

D

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and (Instr. 4)

Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

5. Conversion Ownership or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Warrants to purchase Common Stock	02/27/2012	02/27/2015	Common Stock	1,900,000	\$ 0.54	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships		nips	
	Director	10% Owner	Officer	Other
5 Elements Energy Efficiency Ltd.				
P.O. BOX 3444	â	ÂΧ	â	â
ROAD TOWN	Α	АЛ	А	A
TORTOLA. BRITISH VIRGIN ISLANDÂ				

Signatures

/s/ Frank Lamanna for 5 Elements Energy Efficiencies (BVI) Ltd. under Power of Attorney dated August 7, 2013

08/09/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Remarks:

Yeh Mei-Hui Cheng and Communal International Ltd. are 50/50 owner of 5 Elements Energy Efficie

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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