ENERGY FOCUS, INC/DE

Form 3

October 01, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

A Bright Horizon Partners Inc.

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

03/18/2013

ENERGY FOCUS, INC/DE [EFOI]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

SEA MEADOW HOUSE, BLACKBURNE

HIGHWAY, ROAD TOWN

(Street)

(State)

(Check all applicable) _X_ 10% Owner Director

Officer Other (give title below) (specify below) 6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

TORTOLAÂ BVI

1. Title of Security

(City)

(Instr. 4)

(Zip)

2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

Date Exercisable Expiration Date

(Instr. 4)

Amount or Number of Derivative Security

Security: Direct (D)

(Instr. 5)

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				Shares		or Indirect (I) (Instr. 5)	
Subordinated Convertible Note	07/31/2013(1)	12/31/2016(2)	Common Stock	\$ 1,000,000 (<u>3)</u>	\$ 0.23	D	Â
Subordinated Convertible Note	09/30/2013(1)	12/31/2016(2)	Common Stock	\$ 1,350,000 (3)	\$ 0.23	D	Â
Subordinated Convertible Note	10/31/2013(1)	12/31/2016(2)	Common Stock	\$ 50,000 (3)	\$ 0.23	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
reporting owner runner runners		10% Owner	Officer	Other	
Bright Horizon Partners Inc.					
SEA MEADOW HOUSE	Â	ÂX	Â	Â	
BLACKBURNE HIGHWAY, ROAD TOWN					
TORTOLAÂ BVI					

Signatures

/s/ Frank Lamanna for Bright Horizon Partners Inc. under Power of Attorney 10/01/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Date shown is when Note first becomes convertible into Common Stock.
- (2) Date shown is the maturity date of the Subordinated Convertible Note.
- (3) Represents the Note principle amount, which is convertible into Common Stock at \$0.23 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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