Edgar Filing: ENERGY FOCUS, INC/DE - Form 4

	OCUS, INC/DE										
Form 4	0. 2012										
FORN	ПЛ								PPROVAL		
Check th	STATES	SECURITIES AND EXCHANGE C Washington, D.C. 20549				E COMMISSION	OMB Number: Expires:	3235-0287 January 31,			
if no long subject to Section 1 Form 4 c	SIAIE 16.	MENT OF	OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Estimated average burden hours per response 0.5		
obligatio may cont	Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> Luo Jiangang			2. Issuer Name and Ticker or Trading Symbol ENERGY FOCUS, INC/DE [EFOI]				Issuer	5. Relationship of Reporting Person(s) to Issuer			
			· L J				(Check all applicable)				
(Last) (First) (Middle) 18 MICHELLE WAY			3. Date of Earliest Transaction (Month/Day/Year) 12/18/2013				X_ DirectorX_ 10% Owner Officer (give titleOther (specify below) below)				
			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
PINE BRO	OK, NJ 07058						Form filed by Person	More than One R	eporting		
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	Acquired, Disposed o	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8)	Disposed (Instr. 3, 4	(A) or of (D) 4 and 5) (A) or	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(D) Price	(Inst. 5 and 7)				
Reminder: Rep	port on a separate lin	e for each cla	ass of secu	urities bene	-		-				
					inforn	nation con	spond to the collect tained in this form ond unless the for	are not	SEC 1474 (9-02)		

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying !
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A) or		

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	Derivative Security			Disposed of (D) (Instr. 3, 4, and 5	5)		
			Code V	(A) (I	D) Date Exercisable	Expiration Date	Title
Common Stock Option	\$ 0.48	12/18/2013	А	50,000	01/18/2014 <u>(1)</u>	12/18/2023	Common Stock
Subordinated Convertible Debt (2)	\$ 0.23	05/30/2013	А	869,565	09/30/2013 <u>(3)</u>	12/31/2016	Common Stock
Subordinated Convertible Debt (2)	\$ 0.23	08/02/2013	А	434,783	12/31/2013 <u>(3)</u>	12/31/2016	Common Stock
Subordinated Convertible Debt (2)	\$ 0.23	09/16/2013	А	1,282,609	01/31/2014 <u>(3)</u>	12/31/2016	Common Stock
Subordinated Convertible Debt (2)	\$ 0.23	10/10/2013	A	2,608,696	02/28/2014 <u>(3)</u>	12/31/2016	Common Stock

Reporting Owners

Reporting Owner Name / Address		Relationsh				
, e e	Director	10% Owner	Officer	Other		
Luo Jiangang 18 MICHELLE WAY PINE BROOK, NJ 07058	Х	Х				
Signatures						
/s/ Eric Hilliard with Power of Attorney for						
Jiangang Luo				12/20/2013		
<u>**</u> Signature of Reporting			Date			

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Date applies to 1/12th of the total as option vest monthly over a twelve month period.
- (2) Subordinated convertible debt matures on December 31, 2016, and is convertible into shares of Common Stock at \$0.23 per share.

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- (3) Represents date when debt first becomes convertible into shares of Common Stock.
- (4) Mr. Luo is Managing Partner of Prime Science & Technology, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.