CESCA THERAPEUTICS INC.

Form 8-K April 10, 2019

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT
Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 4, 2019

#### CESCA THERAPEUTICS INC.

(Exact Name of Registrant as Specified in Charter)

Delaware 333-82900 94-3018487

(State or Other Jurisdiction (Commission (IRS Employer of Incorporation) File Number) Identification No.)

2711 Citrus Road, Rancho Cordova, California 95742

(Address of Principal (Zin Code)

Executive Offices) (Zip Code)

Registrant's telephone number, including area code (949) 753-0624

## Edgar Filing: CESCA THERAPEUTICS INC. - Form 8-K

| N/A (Former Name or Former Address, if Changed Since Last Report)  |  |  |  |
|--|--|--|--|
| Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:  |  |  |  |
| Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)  |  |  |  |
| Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)   |  |  |  |
| Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))   |  |  |  |
| Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))   |  |  |  |
| Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 or Rule 12b-2 of the Securities Exchange Act of 1934.   |  |  |  |
| Emerging growth company  |  |  |  |
| If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. |  |  |  |
|  |  |  |  |

| Item 5.02.   | Departure of Directors or Certain Officers; Election of Directors; Appointment of | f Certain |
|--------------|---|-----------|
| Officers; Co | npensatory Arrangements of Certain Officers.                                      |           |

Change to compensation of named executive officers

On April 4, 2019 the Compensation Committee of the Board of Directors of Cesca Therapeutics Inc. approved an increase in the annual base salary of Mr. Jeff Cauble, the Company's Principal Financial & Accounting Officer from \$164,800 to \$184,800.

## Edgar Filing: CESCA THERAPEUTICS INC. - Form 8-K

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

## CESCA THERAPEUTICS INC.

(Registrant)

Dated: April 10, 2019 /s/ Xiaochun Xu

Xiaochun (Chris) Xu, Chief Executive Officer