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LEONBERGER FREDERICK J

Form 3/A

December 08, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Lightwave Logic, Inc. [LWLG] **LEONBERGER** (Month/Day/Year) FREDERICK J 04/01/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1831 LEFTHAND 04/07/2017

CIRCLE, SUITE C (Check all applicable)

(Street)

V. Director 10% Own

6. Individual or Joint/Group
Filing(Check Applicable Line)
X Form filed by One Reporting
Person

Form filed by More than One

Reporting Person **Table I - Non-Derivative Securities Beneficially Owned**

LONGMONT, COÂ 80501

(State)

1.Title of Security

(City)

(Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned (Instr. 4)

3.
Ownership
Form:
Direct (D)

or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect 1. Title of Derivative Security (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) Derivative (Instr. 4) Price of Security: Derivative

Date Expiration Title Amount or Exercisable Date Number of Number of Derivative Security:

Security Direct (D)

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				Shares		or Indirect (I) (Instr. 5)	
Warrant (Right to Buy)	(1)	12/31/2022	Common Stock	125,000	\$ 0.98	D	Â
Warrant (Right to Buy)	(2)	12/31/2023	Common Stock	100,000	\$ 0.715	D	Â
Warrant (Right to Buy)	(3)	12/31/2024	Common Stock	100,000	\$ 0.77	D	Â
Warrant (Right to Buy)	(4)	12/31/2025	Common Stock	125,000	\$ 0.6	D	Â
Warrant (Right to Buy)	(5)	12/31/2026	Common Stock	275,000	\$ 0.6	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
LEONBERGER FREDERICK J						
1831 LEFTHAND CIRCLE	^ ×-	^	Ŷ	•		

SUITE C

LONGMONT, Â COÂ 80501

Signatures

/s/ James S. Marcelli Attorney-in-fact for Frederick J. Leonberger

12/08/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The warrant vested as follows: 31,256 on December 13, 2012 with remainder vesting in equal monthly installments of 7,812 over the **(1)** next 12 months thereafter.
- The warrant vested as follows: 25,000 on January 1, 2014 with remainder vesting in equal monthly installments of 7,500 over the next **(2)** 10 months thereafter.
- The warrant vested as follows: 25,000 on January 1, 2015 with remainder vesting in equal monthly installments of 7,500 over the next **(3)** 10 months thereafter.
- The warrant vested as follows: 31,250 on January 1, 2016 with remainder vesting in equal monthly installments of 9,375 over the next **(4)**
- **(5)** The warrant vested as follows: 181,250 on January 1, 2017 with remainder of 93,750 vesting on March 24, 2017.

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Remarks:

This Form 3 Amendment is being filed to reflect the new expiration dates of the warrants that a Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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