### Edgar Filing: BATES THOMAS R JR - Form 4

BATES THO	MAS R JR											
Form 4												
May 08, 2018	;											
<b>FORM</b>	4									PROVAL		
Washington, D.C. 20549							OMB Number:	3235-0287				
Check this if no longe	ar.									January 31,		
subject to	STATEN	STATEMENT OF CHANGES IN BENEFICIAL OWNER							Expires: Estimated a	2005 average		
	Section 16. SECURITIES Form 4 or							rs per				
Form 4 or Form 5	Filed pur	suppt to S	action 16	$\delta(a)$ of the	o Socuri	tion Er	zohono	ge Act of 1934,	response	0.5		
obligation	<sup>s</sup> Section 17(						-	f 1935 or Section	n			
may contin See Instruc	nue.		of the Inv	•	•	· ·						
1(b).												
(Print or Type R	esponses)											
1. Name and Ac BATES THO	Idress of Reporting DMAS R JR		2. Issuer Symbol	Name and	I Ticker of	Tradin	g	5. Relationship of Issuer	Reporting Pers	son(s) to		
			TETRA [TTI]	TECHN	OLOGI	ES IN	С	(Check all applicable)				
(Last)	(First) (M		3. Date of		ransaction			Director		Owner		
24955 INTE	RSTATE 45 NO		(Month/Da 05/04/20	-				Officer (give below)	below)	er (specify		
	(Street)		4. If Amen	ndment, Da	ate Origina	1		6. Individual or Jo	oint/Group Filir	1g(Check		
			Filed(Mont	h/Day/Yea	.)			Applicable Line)				
THE WOOD	LANDS, TX 77	380						_X_ Form filed by C Form filed by M Person				
(City)	(State)	(Zip)										
(City)	(State)	(Zip)	Table	e I - Non-I	Derivative	Securi	ties Acc	quired, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deem Execution any (Month/D	n Date, if	3.4. Securities AcquiredTransaction(A) or Disposed ofCode(D)(Instr. 8)(Instr. 3, 4 and 5)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
						(A) or		Reported Transaction(s)	()	(		
				Code V	Amoun		Price	(Instr. 3 and 4)				
Common Stock	05/04/2018			А	24,214	A	\$ 0 (1)	133,044	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Relationships

**Reporting Owner Name / Address** 

Director 10% Owner Officer Other

#### BATES THOMAS R JR 24955 INTERSTATE 45 NORTH THE WOODLANDS, TX 77380

# Signatures

Kimberly M. O'Brien, attorney in fact

\*\*Signature of Reporting Person

05/08/2018

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This restricted stock award was granted pursuant to the TETRA Technologies, Inc. 2018 Non-Employee Director Equity Incentive Plan. (1) One-fourth of the award will vest on each of May 4, 2018, August 4, 2018, November 4, 2018 and February 4, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.