

Peterson James N  
Form 4  
August 02, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Peterson James N

(Last) (First) (Middle)  
10 LONGS PEAK DRIVE  
(Street)

BROOMFIELD, CO 80021

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
BALL Corp [BLL]

3. Date of Earliest Transaction (Month/Day/Year)  
08/01/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_\_ Other (specify below)  
SVP & COO Global MF & HHP Pkg

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Price			
Common Stock					48,392.184	D	
Common Stock					142.9357	I	401(k) Plan <sup>(1)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Deferred Compensation Company Stock Plan	(2)					(3)	(3)	Common Stock	51,358
Restricted Stock Units	(4)					(4)	(4)	Common Stock	46,8
Stock Appreciation Rights (sars)	\$ 38.375					01/25/2018	01/25/2027	Common Stock	28,1
Stock Appreciation Rights (sars)	\$ 33.075					02/04/2016	02/04/2025	Common Stock	14,6
Stock Appreciation Rights (sars)	\$ 33.05					01/27/2017	01/27/2026	Common Stock	23,6
Stock Appreciation Rights (sars)	\$ 24.535					01/29/2015	01/29/2024	Common Stock	17,6
Stock Appreciation Rights (sars)	\$ 22.965					01/30/2014	01/30/2023	Common Stock	17,2
Stock Appreciation Rights (sars)	\$ 18.85					01/25/2013	01/25/2022	Common Stock	23,0
Stock Option (Right to Buy)	\$ 38.84					01/24/2019	01/24/2028	Common Stock	30,8
Stock Options (Right to buy)	\$ 18.85					01/25/2013	01/25/2022	Common Stock	2,00

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Peterson James N 10 LONGS PEAK DRIVE BROOMFIELD, CO 80021			SVP & COO Global MF & HHP Pkg	

## Signatures

/s/ Charles E Baker, attorney-in-fact for Mr.  
Peterson

08/01/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (2) Each unit may be settled for a single share of stock or the equivalent amount of cash pursuant to the Ball Corporation Deferred Compensation Company Stock Plan.
- (3) Stock Units in the Ball Corporation's Deferred Compensation Company Stock Plan are distributed upon the separation of service in accordance with the Plan.
- (4) Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.

### Remarks:

Reporting Person is no longer subject to Section 16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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