Independent Bank Group, Inc.

Form 4

November 25, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Berntsen Torry

2. Issuer Name and Ticker or Trading

Symbol

Independent Bank Group, Inc.

[IBTX]

Issuer

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

11/22/2016

Director

10% Owner Officer (give title \_\_X\_ Other (specify

below)

below) Former Director and President

**1600 REDBUD** 

**BOULEVARD, SUITE 400** 

(State)

(Street)

(Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I Non Derivative Securities Acquired Disposed of an Paneficially Ov

**DALLAS, TX 75069** 

(City)

(,)	(=)	Table	e I - Non-D	erivative S	ecurii	ies Acq	uirea, Disposea oi	, or Beneficial	y Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securiti	ies Ac	quired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	n(A) or Dis	sposed	of (D)	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4	and 5	5)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(4)		Reported		
					(A)		Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	10/05/2016		D	19,066 (1)	D	<u>(1)</u>	69,137	D	
Common Stock	11/22/2016		S	2,500	D	\$ 57.1	66,637	D	
Common Stock	11/22/2016		S(2)	2,500	D	\$ 58	64,137	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

**SEC 1474** (9-02)

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# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivati		2		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security			Acquired							Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	Title	or Number		
						Exercisable	Date		of		
				C-J- V	(A) (D)						
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Berntsen Torry 1600 REDBUD BOULEVARD SUITE 400 DALLAS, TX 75069

Former Director and President

# **Signatures**

/s/ Jan Webb, as Attorney in Fact

11/25/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- THE REPORTING PERSON FORFEITS THESE SHARES UPON TERMINATION FROM THE COMPANY. THESE SHARES

  (1) WERE PREVIOUSLY GRANTED UNDER THE ISSUER'S 2013 EQUITY INCENTIVE PLAN AND REPRESENT THE UNVESTED PORTION OF THE TOTAL GRANTED SHARES AT TIME OF FORFEITURE.
  - THE REPORTING PERSON'S SALE OF 2,500 SHARES OF IBTX COMMON STOCK REPORTED HEREIN WAS MATCHABLE UNDER SECTION 16(b) OF THE SECURITIES EXCHANGE ACT OF 1934, TO THE EXTENT OF 450 SHARES, WITH THE
- (2) REPORTING PURCHASE OF 200, 100, AND 150 SHARES OF IBTX COMMON STOCK AT A PRICE OF \$40.6883, \$39.727 AND \$39.3199 PER SHARE ON JUNE 10, 2016, JUNE 24, 2016 AND JUNE 28, 2016, RESPECTIVELY. THE REPORTING PERSON HAS AGREED TO PAY IBTX, UPON SETTLEMENT OF THE SALE, \$8,091.66, REPRESENTING THE FULL AMOUNT OF THE PROFIT REALIZED IN CONNECTION WITH THE SHORT SWING TRANSACTION, LESS TRANSACTION COSTS.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2