

GLAXOSMITHKLINE PLC

Form 6-K

November 08, 2016

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934

For period ending 08 November 2016

GlaxoSmithKline plc

(Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS

(Address of principal executive offices)

Indicate by check mark whether the registrant files or  
will file annual reports under cover Form 20-F or Form 40-F

Form 20-F  Form 40-F

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Indicate by check mark whether the registrant by furnishing the  
information contained in this Form is also thereby furnishing the  
information to the Commission pursuant to Rule 12g3-2(b) under the  
Securities Exchange Act of 1934.

Yes No

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date:8 November 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: GlaxoSmithKline Share Option  
Plan - Ordinary Shares

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted  
securities under  
scheme(s) from previous  
return: 7,398,066

Plus:The amount by  
which the block  
scheme(s) has been  
increased since the date  
of the last return (if any  
increase has been  
applied for): 0

Less: Number of  
securities issued/allotted  
under scheme(s) during  
period (see LR3.5.7G): 3,867,264

Equals: Balance under  
scheme(s) not yet  
issued/allotted at end of  
period: 3,530,802

Name of contact: Victoria  
Whyte

Telephone number of contact: 020 8047  
5000

BLOCK LISTING SIX MONTHLY RETURN

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Date:8 November 2016

Name of applicant: GlaxoSmithKline plc

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Name of scheme: GlaxoSmithKline Share Option  
Plan - ADS

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted securities under scheme(s) from previous return: 9,883,088

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for): 0

Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G): 533,350

Equals: Balance under scheme(s) not yet issued/allotted at end of period: 9,349,738

Name of contact: Victoria Whyte

Telephone number of contact: 020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: Glaxo Wellcome UK Share Option Scheme

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted securities under scheme(s) from previous return: 5,119,750

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for): 0  
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G): 0  
Equals: Balance under scheme(s) not yet issued/allotted at end of period: 5,119,750

Name of contact: Victoria Whyte  
Telephone number of contact: 020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc  
Name of scheme: Glaxo Wellcome International Share Option Scheme

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted securities under scheme(s) from previous return: 13,267,156

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for): 0  
0

Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):  
Equals: Balance under scheme(s) not yet issued/allotted at end of period: 13,267,156

Name of contact: Victoria Whyte

Telephone number of contact: 020 8047 5000

**BLOCK LISTING SIX MONTHLY RETURN**

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Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: Glaxo Wellcome 1999 Share Option Plan

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted securities under scheme(s) from previous return: 1,040,000

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for): 0

Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):  
Equals: Balance under scheme(s) not yet issued/allotted at end of period: 1,040,000

Name of contact: Victoria  
Whyte

Telephone number of contact: 020 8047  
5000

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: SmithKline Beecham 1989  
Executive Share Option Plan -  
Approved - Ordinary Shares

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted  
securities under  
scheme(s) from previous  
return: 163,606

Plus: The amount by  
which the block  
scheme(s) has been  
increased since the date  
of the last return (if any  
increase has been  
applied for): 0

Less: Number of  
securities  
issued/allotted under  
scheme(s) during period  
(see LR3.5.7G): 0

Equals: Balance under  
scheme(s) not yet  
issued/allotted at end of  
period: 163,606

Name of contact: Victoria  
Whyte

Telephone number of contact: 020 8047  
5000

BLOCK LISTING SIX MONTHLY RETURN

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Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: SmithKline Beecham 1989  
Executive Share Option Plan -  
Unapproved - ADS

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted  
securities under  
scheme(s) from previous  
return: 275,420

Plus: The amount by  
which the block  
scheme(s) has been  
increased since the date  
of the last return (if any  
increase has been  
applied for): 0

Less: Number of  
securities issued/allotted  
under scheme(s) during  
period (see LR3.5.7G): 0

Equals: Balance under  
scheme(s) not yet  
issued/allotted at end of  
period: 275,420

Name of contact: Victoria  
Whyte

Telephone number of contact: 020 8047  
5000

BLOCK LISTING SIX MONTHLY RETURN

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Name of applicant: GlaxoSmithKline plc  
Name of scheme: SmithKline Beecham 1989  
Executive Share Option Plan -  
Unapproved - Ordinary

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted securities under scheme(s) from previous return: 100,000

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for): 0

Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G): 0

Equals: Balance under scheme(s) not yet issued/allotted at end of period: 100,000

Name of contact: Victoria Whyte

Telephone number of contact: 020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

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Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc  
Name of scheme: GlaxoSmithKline Savings Related  
Share Option Scheme

Period of return: From: 1 May 2016 To: 31 October 2016



Balance of unallotted securities under scheme(s) from previous return: 2,742,626

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for): 0

Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G): 0

Equals: Balance under scheme(s) not yet issued/allotted at end of period: 2,742,626

Name of contact: Victoria Whyte

Telephone number of contact: 020 8047 5000

#### BLOCK LISTING SIX MONTHLY RETURN

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Date: 8 November 2016

Name of applicant: GlaxoSmithKline plc

Name of scheme: GlaxoSmithKline plc Sharesave Plan 2012

Period of return: From: 1 May 2016 To: 31 October 2016

Balance of unallotted securities under scheme(s) from previous return: 494,191

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for): 0  
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G): 255,084  
Equals: Balance under scheme(s) not yet issued/allotted at end of period: 239,107

Name of contact: Victoria Whyte  
Telephone number of contact: 020 8047 5000

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc  
(Registrant)  
Date: November 08, 2016

By: VICTORIA WHYTE  
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Victoria Whyte  
Authorised Signatory for and on behalf of GlaxoSmithKline plc

