## Edgar Filing: BROENNIMAN EDWARD G - Form 4

BROENNIM Form 4 December 19	AN EDWARD	G								
FORM	1							-	PPROVAL	
	<b>UNITED</b>	STATES		RITIES A			COMMISSIO	N OMB Number:	3235-0287	
Check this if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru	er <b>STATEN</b> 6. Filed pur <sup>18</sup> Section 17(	suant to S a) of the F	<b>CHAN</b> ection 1 Public U	NGES IN SECUF 16(a) of th ftility Hol	BENEF RITIES ne Securi ding Cor	ICIAL OV	Estimated burden hou response	Estimated average burden hours per response 0.5		
1(b).	letton				1	5				
(Print or Type R	Responses)									
1. Name and Address of Reporting Person <u>*</u> BROENNIMAN EDWARD G			2. Issuer Name <b>and</b> Ticker or Trading Symbol AETHLON MEDICAL INC				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			[AEMD]				(Check an applicable)			
(Last) (First) (Middle) 9635 GRANITE RIDGE DRIVE, SUITE 100			3. Date of Earliest Transaction (Month/Day/Year) 11/27/2017			X_ Director 10% Owner Officer (give title Other (specify below) below)				
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
SAN DIEGO	D, CA 92123						Person	More than One R	eporting	
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Repo	ort on a separate line	for each cla	ass of sec	urities benet	ficially ow	ned directly o	or indirectly.			
F	r				Perso inform requir	ns who res nation cont red to resp ays a curre	spond to the colle ained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab					posed of, or convertible :	Beneficially Owner securities)	d		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8.1
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	De

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8	8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)		Sec (In
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	3
Restricted Stock Units	<u>(1)</u>	11/27/2017		А		37,234		(2)	(2)	Common Stock	37,234	

## **Reporting Owners**

\*\*Signature of Reporting

Reporting Owner Name / Address	<b>Reporting Owner Name / Address</b>		Relationships					
			10% Owner	Officer	Other			
BROENNIMAN EDWARD G 9635 GRANITE RIDGE DRIVE, SUIT SAN DIEGO, CA 92123	ГЕ 100	Х						
Signatures								
/s/ Edward G. 12/19/ Broenniman 12/19/	2017							

Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.

(2) Restricted stock units to vest ratably on 12/31/2017 and 3/31/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.