Edgar Filing: Ortmanns Stefan - Form 4

| Ortmanns Ste Form 4 | | | | | | | | | | | |
|--|---|--|--|--|------------------------|----------------|----------------------|---|--|--|--|
| December 04 | _ | | | | | | | | | PROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISS Washington, D.C. 20549 | | | | | | OMMISSION | OMB Number: | 3235-0287 | | | |
| Check thi if no long subject to Section 1 Form 4 or Form 5 obligatior | 6. Filed pur | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | | | Expires:January 31 2005Estimated average burden hours per response0.5 | |
| Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction 30(h) of the Investment Company Act of 1940 1(b). | | | | | | | | | | | |
| (Print or Type R | Responses) | | | | | | | | | | |
| | | | 2. Issuer Name and Ticker or Trading Symbol Nuance Communications, Inc. [NUAN] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) (First) (Middle) ONE WAYSIDE ROAD | | | 3. Date of Earliest Transaction (Month/Day/Year) 11/30/2018 | | | | | Director 10% Owner X_Officer (give title Other (specify below) EVP & GM Automotive Division | | | |
| | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| BURLINGT | ON, MA 01803 | | | | | | | Form filed by M Person | ore than One Re | porting | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Executio any | | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | d of (D) | Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 11/30/2018 | | | Code V F | Amount 4,009 (1) | or (D) D | Price \$ 15.99 | (Instr. 3 and 4) 210,725 | D | | |
| Common Stock | 12/03/2018 | | | S | 7,000 (2) | D | \$ 16.08 | 203,725 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Unde Secur | rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|-----------------------|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|------------|------------------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Ortmanns Stefan ONE WAYSIDE ROAD BURLINGTON, MA 01803 | | | EVP & GM Automotive Division | | | | | |
| Signatures | | | | | | | | |
| By: /s/ Donna Belanger For: Stefan Ortmanns | | 12/04/2018 | | | | | | |
| **Signature of Reporting Person | | Date | | | | | | |
| Evelopetion of Door | | | | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares were withheld by the Company to cover the tax liability due upon the vesting of the restricted stock award.

(2) These shares were sold pursuant to a 10b5-1 Sales Plan adopted on June 22, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.