#### Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4

#### COMMUNITY TRUST BANCORP INC /KY/

Form 4

October 22, 2013

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Form 4 or Form 5 obligations **SECURITIES** 

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

4,107.1395

4,813.4646

Ι

I

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

Common

Common

Stock

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

SPARKMAN RICKY D			Symbol COMMUNITY TRUST BANCORP INC /KY/ [CTBI]					CORP	Issuer (Check all applicable)			
(Last) (First) (Middle) 3. Date				e of Earliest Transaction h/Day/Year)					Director 10% Owner _X Officer (give title Other (specify below) Executive Vice President			
(Street) 4. If Amo Filed(Mo						ate Origina	ıl		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	(State)	(Zip)	Tabl	le I - No	on-D	<b>Derivative</b>	Secur	rities Acq	Person uired, Disposed of	, or Beneficiall	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price					5. Amount of Securities Ownership Indirect Beneficially Form: Direct Benefic Owned (D) or Owners Indirect (I) (Instr. 4) Transaction(s) (Instr. 3 and 4)			
Common Stock	10/18/2013			M	•	3,964	A	\$ 30.88	11,485.5263	D		
Common Stock	10/21/2013			S		3,964	D	\$ 42.5	7,521.5263	D		
Common Stock	10/18/2013			S		1,502	D	\$ 43.22	0	I	By: IRA	

By:

401(k)

By: ESOP

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transactionof Code Derivative (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option $\underline{(1)}$	\$ 30.88	10/18/2013		M		991	01/28/2006	01/28/2015	Common Stock	991
Option (1)	\$ 30.88	10/18/2013		M		991	01/28/2007	01/28/2015	Common Stock	991
Option (1)	\$ 30.88	10/18/2013		M		991	01/28/2008	01/28/2015	Common Stock	991
Option (1)	\$ 30.88	10/18/2013		M		991	01/28/2009	01/28/2015	Common Stock	991
Option $\underline{(1)}$	\$ 32.44						01/27/2007	01/27/2016	Common Stock	1,001.75
Option $\underline{(1)}$	\$ 32.44						01/27/2008	01/27/2016	Common Stock	1,001.75
Option $\underline{^{(1)}}$	\$ 32.44						01/27/2009	01/27/2016	Common Stock	1,001.75
Option $\frac{(1)}{}$	\$ 32.44						01/27/2010	01/27/2016	Common Stock	1,001.75
Option (2)	\$ 38.95						01/23/2008	01/23/2017	Common Stock	1,123.25
Option (2)	\$ 38.95						01/23/2009	01/23/2017	Common Stock	1,123.25
Option (2)	\$ 38.95						01/23/2010	01/23/2017	Common Stock	1,123.25
Option (2)	\$ 38.95						01/23/2011	01/23/2017	Common Stock	1,123.25

Option (3) \$ 28.32 01/29/2013 01/29/2018 Common Stock 3,750

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SPARKMAN RICKY D 1218 E BROADWAY CAMPBELLSVILLE, KY 42718

**Executive Vice President** 

## **Signatures**

Ricky D. Sparkman By: Marilyn T. Justice, Attorney-in-Fact

10/22/2013

Date

\*\*Signature of Reporting Person

**Explanation of Responses:** 

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 1998 Stock Option Plan).
- (2) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 2006 Stock Option Plan).
- (3) Right to buy pursuant to Non-Qualified Stock Option Agreement (CTBI 2006 Stock Ownership Incentive Plan).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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