Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4

COMMUNITY TRUST BANCORP INC /KY/

Form 4

January 30, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005 Estimated average

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction

1(b).

Common

Stock

(Print or Type Responses)

1. Name and Address of Reports STUMBO KEVIN J	2. Issuer Name and Ticker or Trading Symbol COMMUNITY TRUST BANCORP INC /KY/ [CTBI]				C	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) 346 NORTH MAYO TRA	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/28/2014			Director X Officer (give below) EVP, C		Owner er (specify		
(Street) PIKEVILLE, KY 41501		If Amendment, Date Original ed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	rities Acq	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Month/Day/Ye (Instr. 3)	ar) Execution	med n Date, if Day/Year)	3. Transaction Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock 01/28/2014			A	114	A	\$ 41.63	6,379	D	
Common Stock							5,505.707	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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By 401(k)

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number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Transaction Date 3A. Deemed 4. Month/Day/Year) Execution Date, if any Code (Month/Day/Year) (Instr.		5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (1)	\$ 32.44					01/27/2008	01/27/2016	Common Stock	1,040.25
Option (1)	\$ 32.44					01/27/2009	01/27/2016	Common Stock	1,040.25
Option $\underline{(1)}$	\$ 32.44					01/27/2010	01/27/2016	Common Stock	1,040.25
Option (2)	\$ 38.95					01/23/2008	01/23/2017	Common Stock	1,203.5
Option (2)	\$ 38.95					01/23/2009	01/23/2017	Common Stock	1,203.5
Option (2)	\$ 38.95					01/23/2010	01/23/2017	Common Stock	1,203.5
Option (2)	\$ 38.95					01/23/2011	01/23/2017	Common Stock	1,203.5

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
STUMBO KEVIN J							

346 NORTH MAYO TRAIL PIKEVILLE, KY 41501

EVP, CFO, & Treasurer

Signatures

Kevin J. Stumbo By: Marilyn T. Justice, Attorney-in-Fact 01/30/2014

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 1998 Stock Option Plan).
- (2) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 2006 Stock Option Plan).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.