Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 5

COMMUNITY TRUST BANCORP INC /KY/

Form 5

February 10, 2015

FORM 5 UNITED STATES SECURITIES AND EVOLANCE COMMISSION OMB

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported Form 4 30(h) of the Investment Company Act of 1940

Transactions Reported

1(b).

1. Name and Address of Reporting Person * GOOCH MARK A			2. Issuer Name and Ticker or Trading Symbol COMMUNITY TRUST BANCORP INC /KY/ [CTBI]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) PO BOX 2947	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2014	Director 10% Owner Officer (give title Other (specify below)			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting (check applicable line)			

PIKEVILLE, ÂKYÂ 41502-2947

X Form Filed by One Reporting Person ___ Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/02/2014	Â	<u>J(1)</u>	26.6876	A	\$ 44.36	15,593.2409	D	Â	
Common Stock	04/01/2014	Â	<u>J(1)</u>	35.9838	A	\$ 42.03	15,629.2247	D	Â	
Common Stock	07/01/2014	Â	<u>J(1)</u>	43.0289	A	\$ 35.3	17,233.6761 (2)	D	Â	
Common Stock	10/01/2014	Â	<u>J(1)</u>	47.0927	A	\$ 33.64	17,280.7688	D	Â	
	12/31/2014	Â	J <u>(3)</u>	2,081.5179	A	\$ 0 (3)	13,290.2008	I	By ESOP	

3235-0362

January 31,

2005

1.0

Number:

Expires:

response...

Estimated average

burden hours per

Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 5

Common Stock

Common By Â $J^{(4)}$ 12/31/2014 2,123.2212 A \$ 0 (4) 15,030.6025 I Stock 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information SEC 2270 contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option <u>(5)</u>	\$ 29.491 (6)	Â	Â	Â	Â	Â	01/27/2007	01/27/2016	Common Stock	2,076.75 (6)
Option <u>(5)</u>	\$ 29.491 (6)	Â	Â	Â	Â	Â	01/27/2008	01/27/2016	Common Stock	2,076.75 (6)
Option (5)	\$ 29.491 (6)	Â	Â	Â	Â	Â	01/27/2009	01/27/2016	Common Stock	2,076.75 (6)
Option (5)	\$ 29.491 (6)	Â	Â	Â	Â	Â	01/27/2010	01/27/2016	Common Stock	2,076.75 (6)
Option (7)	\$ 35.409 (8)	Â	Â	Â	Â	Â	01/23/2008	01/23/2017	Common Stock	2,383 (8)
Option (7)	\$ 35.409 (8)	Â	Â	Â	Â	Â	01/23/2009	01/23/2017	Common Stock	2,383 (8)
Option (7)	\$ 35.409 (8)	Â	Â	Â	Â	Â	01/23/2010	01/23/2017	Common Stock	2,383 (8)
Option (7)	\$ 35.409 (8)	Â	Â	Â	Â	Â	01/23/2011	01/23/2017	Common Stock	2,383 (8)
Option (9)	\$ 25.745 (10)	Â	Â	Â	Â	Â	01/29/2013	01/29/2018	Common Stock	5,500 (10)

(9-02)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GOOCH MARK A

PO BOX 2947 Executive Vice Pres./Secretary PIKEVILLE, KYÂ 41502-2947

Signatures

Mark A. Gooch By: Marilyn T. Justice, Attorney-in-Fact 02/10/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Community Trust Bancorp, Inc. Dividend Reinvestment Plan Shares.
- On April 29, 2014, CTBI declared a 10% stock dividend payable to all holders of common stock on record date May 15, 2014, payable June 2, 2014. As a result, the reporting person received an additional 1,561.4225 shares directly.
- These shares were acquired during the fiscal year under the Community Trust Bancorp, Inc. Employee Stock Ownership Plan at a price range of \$33.64-\$44.36 per share in transactions that were exempt from Section 16(b) by virtue of old rule 16a-8(b). The information reported herein is based on plan statement dated December 31, 2014.
- These shares were acquired during the fiscal year under the Community Trust Bancorp, Inc. 401(k) Plan at a price range of \$33.64-\$44.36 per share in transactions that were exempt by virtue of old rule 16a-8(b) and new Rule 16b-3(d) (2). The information reported herein is based on plan statement dated December 31, 2014.
- (5) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 1998 Stock Option Plan).
- (6) Option previously reported as covering 1,821 shares @\$30.88 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.
- (7) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 2006 Stock Option Plan).
- Option previously reported as covering 2,166.25 shares @\$38.95 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.
- (9) Right to buy pursuant to Non-Qualified Stock Option Agreement (CTBI 2006 Stock Ownership Incentive Plan).
- (10) Option previously reported as covering 5,000 shares @\$28.32 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3