Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4

COMMUNITY TRUST BANCORP INC /KY/

Form 4

January 28, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005

OMB APPROVAL

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

burden hours per response... 0.5

may continue. *See* Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** GOOCH MARK A			2. Issuer Name and Ticker or Trading Symbol COMMUNITY TRUST BANCORP	5. Relationship of Reporting Person(s) to Issuer			
			INC /KY/ [CTBI]	(Check all applicable)			
(Last)	(First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year)	Director 10% OwnerX_ Officer (give title Other (specify			
PO BOX 2947			01/26/2016	below) below) Executive Vice President			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
PIKEVILLE, KY 41502-2947			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
TIKE VILLE, K				Person			

11112 (1222), 111 (1002 2) ()					Person						
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (Instr.	8)	4. Securities nor Disposed (Instr. 3, 4	d of (L))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/04/2016		<u>J(1)</u>	V	118.656	A	\$ 34.1	18,394.8317	D		
Common Stock	01/26/2016		A		1,038	A	\$ 33.55	19,432.8317	D		
Common Stock								14,107.9632	I	By ESOP	
Common Stock								15,998.2871	I	By 401(k)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Prio Deriv Secur (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option (2)	\$ 35.409 (3)					01/23/2008	01/23/2017	Common Stock	2,383 (3)	
Option (2)	\$ 35.409 (3)					01/23/2009	01/23/2017	Common Stock	2,383 (3)	
Option (2)	\$ 35.409 (3)					01/23/2010	01/23/2017	Common Stock	2,383 (3)	
Option (2)	\$ 35.409 (3)					01/23/2011	01/23/2017	Common Stock	2,383 (3)	
Option (4)	\$ 25.745 (5)					01/29/2013	01/29/2018	Common Stock	5,500 (5)	

Reporting Owners

Reporting Owner Name / Address Relati	ionships
---------------------------------------	----------

Director 10% Owner Officer Other

GOOCH MARK A PO BOX 2947 PIKEVILLE, KY 41502-2947

Executive Vice President

Signatures

Mark A. Gooch By: Marilyn T. Justice, Attorney-in-Fact 01/28/2016

**Signature of Reporting Person Date

Reporting Owners 2

Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Community Trust Bancorp, Inc. Dividend Reinvestment Plan Shares
- (2) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 2006 Stock Option Plan)
- (3) Option previously reported as covering 2,166.25 shares @\$38.95 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.
- (4) Right to buy pursuant to Non-Qualified Stock Option Agreement (CTBI 2006 Stock Ownership Incentive Plan)
- (5) Option previously reported as covering 5,000 shares @\$28.32 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.