### Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 5

COMMUNITY TRUST BANCORP INC /KY/

Form 5 January 25, 2017 OMB APPROVAL FORM 5 OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Hancock Charles Wayne II Symbol COMMUNITY TRUST BANCORP (Check all applicable) INC /KY/ [CTBI] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner Х \_ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2016 Executive Vice President C/O COMMUNITY TRUST BANCORP, INC., Â PO BOX 2947 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) PIKEVILLE, KYÂ 41502-2947 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 7. Nature of 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 6. Security (Month/Day/Year) Execution Date, if Transaction (A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) (Instr. 8) Owned at end Direct (D) Ownership of Issuer's or Indirect (Instr. 4) (A) Fiscal Year  $(\mathbf{I})$ or (Instr. 3 and 4) (Instr. 4) (D) Price Amount Common \$0 12/31/2016 Â  $\mathbf{J}^{(1)}$ 2,000.5387 By ESOP 348.1585 A Ι (1) Stock Common \$0 Â 12/31/2016 **J**(2) 190.6706 Α 1,161.4274 Ι By 401(k) (2) Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Common

Stock

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Persons who respond to the collection of information contained in this form are not required to respond unless

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**SEC 2270** (9-02)

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#### the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerci Expiration Dat (Month/Day/Y	ie	7. Title and A Underlying S (Instr. 3 and	Securities
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
$\underbrace{Option}_{(3)}$	\$ 34.75	Â	Â	Â	Â	07/29/2019	07/29/2024	Common Stock	10,000

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
Hancock Charles Wayne II C/O COMMUNITY TRUST BANCORP, INC. PO BOX 2947 PIKEVILLE, KY 41502-2947	Â	Â	Executive Vice President	Â			
Signatures							
Charles Wayne Hancock II By: Marilyn T. Justic Attorney-in-Fact	e, 01/25/2017						
**Signature of Reporting Person			Date				

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares were acquired during the fiscal year under the Community Trust Bancorp, Inc. Employee Stock Ownership Plan at a price (1) range of \$34.10-\$36.50 per share in transactions that were exempt from Section 16(b) by virtue of old rule 16a-8(b). The information reported herein is based on plan statement dated December 31, 2016.

These shares were acquired during the fiscal year under the Community Trust Bancorp, Inc. 401(k) Plan at a price range of \$34.10-\$36.50
(2) per share in transactions that were exempt by virtue of old rule 16a-8(b) and new Rule 16b-3(d) (2). The information reported herein is based on plan statement dated December 31, 2016.

(3) Right to buy pursuant to Non-Qualified Stock Option Agreement (CTBI 2006 Stock Ownership Incentive Plan)

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.