

Beaty Anne L.
Form 4
December 07, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Beaty Anne L.

2. Issuer Name and Ticker or Trading Symbol
HALLIBURTON CO [HAL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3000 N. SAM HOUSTON PARKWAY E.
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/05/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Senior VP, Finance

HOUSTON, TX 77032
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 12/05/2018 | | A | | 5,100 (1) | A | \$ 31.44 (2) |
| | | | | | 60,096.154 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| | | | | Code V (A) (D) | | Date Exercisable Expiration Date | Title | |
| Option to Buy Common Stock | \$ 31.44 | 12/05/2018 | | A | 8,700 | 12/05/2018 ⁽³⁾ 12/05/2028 | Common Stock | 8,700 |
| Option to Buy Common Stock | \$ 43.38 | | | | | 12/06/2017 12/06/2027 | Common Stock | 5,800 |
| Option to Buy Common Stock | \$ 55.68 | | | | | 01/03/2017 01/03/2027 | Common Stock | 17,574 |
| Option to Buy Common Stock | \$ 34.48 | | | | | 01/04/2016 01/04/2026 | Common Stock | 29,411 |
| Option to Buy Common Stock | \$ 39.49 | | | | | 01/02/2015 01/02/2025 | Common Stock | 17,520 |
| Option to Buy Common Stock (11/2013) | \$ 53.13 | | | | | 11/05/2013 11/05/2023 | Common Stock | 10,000 |
| Option to Buy Common Stock (01/13) | \$ 36.31 | | | | | 01/03/2013 01/03/2023 | Common Stock | 9,300 |
| Option to Buy Common Stock | \$ 34.15 | | | | | 01/03/2012 01/03/2022 | Common Stock | 7,500 |
| | \$ 31.65 | | | | | 01/05/2010 01/05/2020 | | 9,500 |

Option to
Buy
Common
Stock

Common
Stock

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Beaty Anne L. 3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032 | | | Senior VP, Finance | |

Signatures

/s/ Bruce A. Metzinger, by Power of Attorney

12/07/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded pursuant to the Halliburton Company Stock and Incentive Plan. Said Plan provides for the surrender of common stock to the Issuer to satisfy withholding tax obligations.
 - (2) December 5, 2018 was declared a Federal Holiday. On December 4, 2018, the closing price of Halliburton Company's Common Stock on the New York Stock Exchange was \$31.44.
 - (3) The options awarded become exercisable on each of the first, second and third anniversaries of the grant in cumulative increments of one-third each of the number of shares subject to the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.