VALHI INC /DE/ Form 4

August 15, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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January 31, 2005

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**OMB APPROVAL** 

response...

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

5. Relationship of Reporting Person(s) to

subject to Section 16. Form 4 or Form 5 obligations may continue.

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

See Instruction

SIMMONS HAROLD C		Symbol					Issuer			
				I INC /DI				(Check	all applicable	)
(Last) 5430 LBJ I	(First) FREEWAY, SU	(Middle)		of Earliest T Day/Year) 2013	ransaction			_X_ Director _X_ Officer (give below) Chairm	X 10% title Other below) tan of the Boar	er (specify
DALLAS,	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)  DALLAS, TX 75240					6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting				
(City)	(State)	(Zip)	Tob	do I. Non i	Dominatina	Soon		Person  ired, Disposed of,	an Danafiaial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	ate 2A. Deen Execution any	ned	3. Transactic Code (Instr. 8)	4. Securit our Dispos (Instr. 3, 4	ies Aced of (4 and :	equired (A) (D) 5)  Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
\$0.01 par value per share Common stock,	08/15/2013			J <u>(1)</u>	80,000	A	19.516 (2)	2,569,515	I	Contran (3)
\$0.01 par value per share								1,851,880	D	h. VIIC
Common stock,								314,033,148	I	by VHC

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\$0.01 par value per share			
Common stock, \$0.01 par value per share	1,100,541	I	by CDCT
Common stock, \$0.01 par value per share	818,514	I	by Spouse (6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

<ol> <li>Title of</li> </ol>	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	tionNumber	Expiration D	ate	Amoun	t of	Derivative	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	) Derivative	e		Securiti	es	(Instr. 5)	
	Derivative				Securities	3		(Instr. 3	and 4)		
	Security				Acquired			·			
	Ĭ				(A) or						
					Disposed						
					of (D)						
					(Instr. 3,						
					4, and 5)						
					., ,						
								A	Amount		
						Date	Expiration	O			
						Exercisable	Date Title IN	Number			
						LACICISAUIC	Date	O	of		
				Code '	V (A) (D)			S	Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	X	X	Chairman of the Board				
CONTRAN CORP 5430 LBJ FREEWAY, SUITE 1700		X					

Reporting Owners 2

DALLAS, TX 75240

## **Signatures**

A. Andrew R. Louis, Attorney-in-fact, for Harold C.

Simmons 08/15/2013

\*\*Signature of Reporting Person Date

A. Andrew R. Louis, Secretary, for Contran Corporation

08/15/2013

Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Open market purchase by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.
- The price reported in Column 4 is a weighted average price. These acquisitions involved 19 purchases at prices ranging from \$19.3144 to \$19.5900 per share. The reporting persons undertake to provide to the issuer, any security holder of the issuer or the U.S. Security and Exchange Commission, upon request, the full information regarding these purchases.
- (3) Directly held by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.
- (4) Directly held by Valhi Holding Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.
- (5) Directly owned by the Contran Amended and Restated Deferred Compensation Trust. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.
- Directly held by the reporting person's spouse. Mr. Simmons disclaims beneficial ownership of any shares of the issuer's common stock (6) that his spouse holds. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationships to the persons joining in this filing.

#### Remarks:

**Exhibit Index** 

Exhibit 99 - Additional Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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