## Edgar Filing: RAYMOND JAMES FINANCIAL INC - Form 8-K

RAYMOND JAMES FINANCIAL INC Form 8-K September 19, 2005

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### FORM 8-K

# CURRENT REPORT Pursuant to Section 13 and 15(d) of the Securities Exchange Act of 1934

September 15, 2005 Date of report (date of earliest event reported)

#### **Raymond James Financial, Inc.**

(Exact Name of Registrant as Specified in Its Charter)

Florida (State or Other Jurisdiction of Incorporation)

1-9109

(Commission File Number)

**59-1517485** (IRS Employer Identification No.)

# 880 Carillon Parkway St. Petersburg, FL 33702

(Address of Principal Executive Offices) (Zip Code)

## (727) 567-1000

(Registrant's Telephone Number, Including Area Code)

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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# **Item 7.01 Regulation FD Disclosure**

On September 19, 2005, Raymond James Financial, Inc. issued a press release disclosing operating data for the four-week period ended August 31, 2005. A copy of the release is attached hereto as Exhibit 99.1 and incorporated by reference herein.

# Item 8.01 Other

On September 15, 2005 Raymond James Financial Services, Inc., a subsidiary of Raymond James Financial Inc., issued a press release responding to the conclusion of administrative proceedings.

A copy of the release is attached hereto as Exhibit 99.2 and incorporated by reference herein.

Item 9.01 Financial Statements and Exhibits

# (c) Exhibits

The following exhibits are filed herewith.

- 99.1 Press Release dated September 19, 2005.
- 99.2 Press Release dated September 15, 2005.

# SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

By:

By:

## RAYMOND JAMES FINANCIAL, INC.

Date: September 19, 2005

/s/ Thomas A. James Thomas A. James, Chairman and Chief Executive Officer

/s/ Jeffrey P. Julien Jeffrey P. Julien Senior Vice President - Finance and Chief Financial Officer