

Edgar Filing: ASHLAND INC - Form 4

ASHLAND INC
Form 4
May 09, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Hausrath, David L.

50 E. RiverCenter Boulevard
Covington, KY 41012-0391

2. Issuer Name and Ticker or Trading Symbol

Ashland Inc.
ASH

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

April 30, 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

() Director () 10% Owner (X) Officer (give title below) () Other
(specify below)

Vice President:General Counsel

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	A/ D	Price	5. Amount of Securities Beneficially Owned at End of Month	
Common Stock						3,288 (1)	
Common Stock						3,881 (2)	
Common Stock	4-24-01	M	1,000	A	\$23.875		
Common Stock	4-24-01	S	600	D	\$42.72		
Common Stock	4-24-01	S	400	D	\$42.71	2,377	

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative	2. Contract	3.	4.	5. Number of Derivatives	6. Date Exercisable	7. Title and Amount	8. Put or Call
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Security	Version	Transaction	Derivative Security	Acquirable and Expirable	Underlying Securities	of
or Exercise			Acquired(A) or Disposed of(D)	Expiration Date(Month/Day/Year)		
Price of						
Derivative						
Security						
Amount						
Date	Code	V				
Option (3)	23.875	4-24-01	M	250	D	9-21-93
						10-21-02
						Common Stock
						250
	23.875	4-24-01	M	375	D	9-21-94
						10-21-02
						Common Stock
						375
	23.875	4-24-01	M	375	D	9-21-95
						10-21-02
						Common Stock
						375
Option (4)	33.125					9-16-94
						10-16-03
						Common Stock
						1,000
	33.125					9-16-95
						10-16-03
						Common Stock
						500
	33.125					9-16-96
						10-16-03
						Common Stock
						500
Option (5)	35.875					9-15-95
						10-15-04
						Common Stock
						1,000
	35.875					9-15-96
						10-15-04
						Common Stock
						500
	35.875					9-15-97
						10-15-04
						Common Stock
						500
Option (5)	33.875					9-21-96
						10-21-05
						Common Stock
						1,000
	33.875					9-21-97
						10-21-05
						Common Stock
						500
	33.875					9-21-98
						10-21-05
						Common Stock
						500
Option (5)	39.00					9-19-97
						10-19-06
						Common Stock
						1,000
	39.00					9-19-98
						10-19-06
						Common Stock
						500
	39.00					9-19-99
						10-19-06
						Common Stock
						500
Option (6)	53.375					9-18-98
						10-18-07
						Common Stock
						2,000
	53.375					9-18-99
						10-18-07
						Common Stock
						1,000
	53.375					9-18-
						10-18-
						Common Stock
						1,000

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							00	-07			
Option (6)	48.00						9-17-	10-17	Common Stock	4,000	
							99	-08			
	48.00						9-17-	10-17	Common Stock	2,000	
							00	-08			
	48.00						9-17-	10-17	Common Stock	2,000	
							01	-08			
Option (6)	36.625						9-16-	10-16	Common Stock	10,000	
							00	-09			
	36.625						9-16-	10-16	Common Stock	10,000	
							01	-09			
	36.625						9-16-	10-16	Common Stock	10,000	
							02	-09			
	36.625						9-16-	10-16	Common Stock	10,000	
							03	-09			
Common Stock Units (7)	1-for-1		J		100	A			Common Stock	100	

Explanation of Responses:

1. Shares accrued under Ashland's Leveraged Employee Stock Ownership Plan as of 4-30-01.
2. Based on Employee Savings Plan information as of 5-2-01, the latest date for which such information is reasonably available, and includes transactions occurring on or after 2-23-01.
3. Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's Long-Term Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
4. Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's Amended Stock Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
5. Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's 1993 Stock Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
6. Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's 1997 Stock Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
7. Common Stock Units acquired pursuant to Ashland's 1995 Deferred Compensation Plan as of 4-30-01 and includes transactions occurring on or after 11-15-00, and exempt under Rule 16b-3(d).

The price of the Common Stock Units on the applicable valuation dates ranged from \$31.938 - \$42.510. (One (1) Common Stock Unit in the 1995 Deferred Compensation Plan is the equivalent of one (1) share of Ashland Common Stock)

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SIGNATURE OF REPORTING PERSON

David L. Hausrath

DATE

May 8, 2001