OSHKOSH CORP

Form 4

November 15, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Johnson James W.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last)

(First)

(Middle)

(Zip)

OSHKOSH CORP [OSK] 3. Date of Earliest Transaction

(Month/Day/Year) 11/11/2016

Director 10% Owner

Other (specify X_ Officer (give title below)

Exec. VP & Pres., Fire & Emerg

C/O OSHKOSH CORPORATION, 2307 OREGON **STREET**

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

OSHKOSH, WI 54902

(City)

| (City) | (State) | (Zip) Tak | ole I - Non- | Derivative Se | curitie | es Acquir | ed, Disposed of, | or Beneficiall | y Owned |
|--------------------------------------|--------------------------------------|---|--|--|---------|-------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securities 2 coor Disposed of (Instr. 3, 4 an | of (D) | red (A) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 11/11/2016 | | M | 1,669.685 | A | <u>(1)</u> | 40,117.584 | D | |
| Common Stock | 11/11/2016 | | F | 585 | D | \$ 65.71 | 39,541.594 (2) | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | | 7. Title and Amount of | |
|------------------------------|-------------|---|--------------------|-----------------|----------------------|-------------------------|--------------------|------------------------|----------------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | orDerivative | Expiration Date | | Underlying Securities | |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | | (Instr. 3 and 4) | |
| (Instr. 3) | Price of | (· · · · · · · · · · · · · · · · · · · | | Acquired (A) or | | | | | |
| | Derivative | | | Disposed of (D) | | | | | |
| | Security | | | | (Instr. 3, 4, and 5) | | | | |
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount Number Shares |
| | | | | | | | | | |
| Restricted Stock Units | <u>(1)</u> | 11/11/2016 | | M | 1,669.685 | 11/12/2016 | (3) | Common Stock | 1,669.6 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Johnson James W. C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902

Exec. VP & Pres., Fire & Emerg

Signatures

Ignacio A. Cortina, for James W.

Johnson 11/15/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.
 - Amount includes a total of 587.32 shares acquired under the Oshkosh Corporation Employee Stock Purchase Plan through 11/15/2016.
- (2) Between 9/20/2016 and 11/15/2016, the reporting person acquired 9.01 shares under the Oshkosh Corporation Employee Stock Purchase Plan.
- (3) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/12/2016.

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