Cortina Ignacio A Form 4 October 17, 2018

Form 5

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Cortina Ignacio A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

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OSHKOSH CORP [OSK]

(Check all applicable)

C/O OSHKOSH

(Street)

(First)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

10/16/2018

Director 10% Owner X\_ Officer (give title \_ \_\_ Other (specify below)

CORPORATION, 2307 OREGON **STREET** 

4. If Amendment, Date Original

EVP, Gen. Counsel & Secretary

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

OSHKOSH, WI 54902

(City)	(State) (Z	Zip) Table	e I - Non-Do	erivative S	Securi	ties Acq	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(msu. 1)	(msu. 1)
Common Stock	10/16/2018		M	2,491 (1)	A	\$ 62.6	12,503.979	D	
Common Stock	10/16/2018		F	798	D	\$ 62.6	11,705.979	D	
Common Stock	10/16/2018		M	3,122 (2)	A	\$ 62.6	14,827.979	D	
Common Stock	10/16/2018		F	1,000	D	\$ 62.6	13,827.979	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: Cortina Ignacio A - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	9.
Derivative	Conversion or Exercise	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Amount of	Derivative	D	
Security			any (Month/Day/Year)	Code (Instr. 8)	of	• • • • • • • • • • • • • • • • • • • •		Underlying Securities (Instr. 3 and 4)	Security (Instr. 5)	S	
(Instr. 3)	Price of				Derivative					В	
	Derivative				Securities Acquired				. 3 and 4)		O
	Security										F
					(A) or						R
					Disposed						T
					of (D)						(I
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date Expiration Exercisable Date	Expiration	TP:41	or		
							Title Number				
				C 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Cortina Ignacio A C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902

EVP, Gen. Counsel & Secretary

### **Signatures**

Ignacio A. 10/17/2018 Cortina

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares issued pursuant to the TSR-based Performance Shares previously granted under the Company's 2009 Incentive Stock and Awards **(1)** Plan for the performance period October 1, 2015 through September 30, 2018.
- Shares issued pursuant to the ROIC-based Performance Shares previously granted under the Company's 2009 Incentive Stock and Awards Plan for the performance period October 1, 2015 through September 30, 2018 (which takes into account performance through June 30, 2018).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

9. Nu Deriv Secu Bene

Own Follo Repo Trans (Insti