Edgar Filing: CARDINALE ROGER - Form 4

CARDINALE ROGER

Form 4 January 15, 2003

FORM 4

_ Check this box if no longer subject to Section

obligations may continue.

16. Form 4 or Form 5

See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

Name and Address of Reporting Person* Cardinale John Roger						and Ticker ment, Inc.	Pe	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) 4441 W. Airport Freeway				of Reporting Person,					tement for h/Day/Year /03	10 X Ot Ot Ex En	Director		
(Stre							Date of	Amendment, of Original th/Day/Year)	7. (C <u>X</u> Pe	Issuer 7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (Sta		Table I Non-Derivative Securities Acquired, Dis											
Title of Security					s-	4. Securiti							
(Instr. 3)	action Date (Month/ Day/ Year)	Execution Date, if any (Month/Day Year)	Code (Instr. 8			(A) or Dis (Instr. 3, 4	(A) or	of (D)	Securities Beneficially Owned Follow- ing Reported Transactions(s)	l (s)	ship Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4)	
							(D)		(Instr. 3 & 4)				
Common Stock, Par Value \$.10										0			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

		(-	es, pass,		7 '		,	· [· · · · · · · · · · · · · · · · · ·		2000					
		3. Trans-	3A.	4.				6. Date Exercisable						10.	11. Nat
Derivative	sion or	action	Deemed	Trans	-	of		and Expiration	n	Amount of		Derivative	Derivative	Owner-	of Indir
Security	Exercise	Date	Execution	action		Derivati	ve	Date	Underlying		g	Security	Securities	ship	Benefic
	Price of		Date,	Code		Securitie	es	(Month/Day/		Securities		(Instr. 5)	Beneficially	Form	Owners
(Instr. 3)	Derivative	(Month/	if any			Acquired	d	Year)		(Instr. 3 & 4)			Owned	of Deriv-	(Instr. 4
	Security	Day/	(Month/	(Instr		(A) or							Following	ative	ľ
		Year)	Day/	8)		Dispose	l of						Reported	Security:	
			Year)	,		(D)								Direct	
						(D)							` ′	(D)	
						(Instr. 3,	4							or	
						,	-							Indirect (I)	
					т —	& 5)	ı								
				Code	V	(A)	(D)	Date	Expira-	Title	Amount			` /	
								Exer-cisable	tion		or			(Instr. 4)	
									Date		Number				
											of				
											Shares				
Employee	\$14.5427	01/09/98						(1)	01/09/05	Common	14,850		14,850	D	
Stock								I_		Stock	,		,		
Option															
Employee	\$17 5009	01/08/99						(2)	01/08/06	Common	16,875		31,725	D	
Stock	ψ17.2002	01/00///						<u> </u>	01/00/00	Stock	10,075		31,723	-	
Option										Stock					
	\$25 5C20	01/11/00						(3)	01/11/07	Common	17 (40		40.265	D	
Employee	\$25.5630	01/11/00						<u>-</u>	01/11/0/		17,640		49,365	D	
Stock										Stock					
Option															
Employee	\$34.0000	01/05/01						(4)	01/05/08	Common	50,000		99,365	D	
Stock										Stock					
Option															
Employee	\$43.5000	01/03/02						(5)	01/03/09	Common	15,000		114,365	D	
Stock								I		Stock					
Option															
Employee	\$20,0000	01/13/03		A		100,000		(6)	01/13/10	Common	100,000		214,365	D	
Stock	\$42.2300	01/13/03		A		100,000			01/13/10	Stock	100,000		214,303	۳ ا	
										Stock					
Option]													

Explanation of Responses:

By: /s/ John Roger Cardinale

01/15/03 Date

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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⁽¹⁾ Vesting Schedule: 50% after 01/09/00; 75% after 01/09/01; and 100% after 01/09/02.

⁽²⁾ Vesting Schedule: 50% after 01/08/01; 75% after 01/08/02; and 100% after 01/08/03.

⁽³⁾ Vesting Schedule: 50% after 01/11/02; 75% after 01/11/03; and 100% after 01/11/04.

⁽⁴⁾ Vesting Schedule: 50% after 01/05/03; 75% after 01/05/04; and 100% after 01/05/05.

⁽⁵⁾ Vesting Schedule: 50% after 01/03/04; 75% after 01/03/05; and 100% after 01/03/06.

⁽⁶⁾ Vesting Schedule: 33% after 01/13/04; 66% after 01/13/05; and 100% after 01/13/06.

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).