

HOME BANCSHARES INC

Form 5

February 10, 2017

**FORM 5****UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**Check this box if  
no longer subject  
to Section 16.Form 4 or Form  
5 obligations  
may continue.See Instruction  
1(b).Form 3 Holdings  
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL  
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0362Expires: January 31,  
2005Estimated average  
burden hours per  
response... 1.01. Name and Address of Reporting Person \*  
Carter Russell Davis III2. Issuer Name and Ticker or Trading  
SymbolHOME BANCSHARES INC  
[HOMB]5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended  
(Month/Day/Year)  
12/31/2016☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Centennial Bank Regional Pres.

P.O. BOX 966

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

CONWAY, AR 72033

☒ Form Filed by One Reporting Person  
☐ Form Filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)     | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|-------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                           | Â                                       | Â                                                           | Â                                       | Â Â Â                                                                      | 19,758.648<br>(1) (2)                                                                                        | D                                                                          | Â                                                                 |
| Common<br>Stock -<br>Restricted           | Â                                       | Â                                                           | Â                                       | Â Â Â                                                                      | 10,000 (3)                                                                                                   | D                                                                          | Â                                                                 |
| Common<br>Stock -<br>Performance<br>Based | Â                                       | Â                                                           | Â                                       | Â Â Â                                                                      | 10,000 (2) (4)<br>(5)                                                                                        | D                                                                          | Â                                                                 |

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|              |   |   |   |   |   |   |                         |   |             |
|--------------|---|---|---|---|---|---|-------------------------|---|-------------|
| Common Stock | Â | Â | Â | Â | Â | Â | 4,822.13 <sup>(6)</sup> | I | By 401(k)   |
| Common Stock | Â | Â | Â | Â | Â | Â | 5,234                   | I | Held by IRA |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    |                                | (A) (D)                                                                                 | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Performance Stock Option                   | \$ 18.46                                               | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | Â <sup>(7)</sup> 08/23/2025                              | Common Stock 40,000                                           |

## Reporting Owners

| Reporting Owner Name / Address                                 | Relationships |           |                                  |       |
|----------------------------------------------------------------|---------------|-----------|----------------------------------|-------|
|                                                                | Director      | 10% Owner | Officer                          | Other |
| Carter Russell Davis III<br>P.O. BOX 966<br>CONWAY,Â ARÂ 72033 | Â             | Â         | Â Centennial Bank Regional Pres. | Â     |

## Signatures

/s/ Russell Davis Carter, III by Rachel Wesson 02/10/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 153.847 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.

(2) The reporting person had 6,000 shares vest since the last filing.

(3)

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Restricted Stock granted on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third anniversary of the award date.

- (4) The Performance Stock awarded on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third annual anniversary of the date that the performance goal is met. The performance goal will be met as of the end of the calendar quarter when the Company has averaged \$0.3125 diluted earnings per share for four consecutive quarters or \$1.25 total diluted earnings per share over a period of four consecutive quarters.
- (5) The Performance Stock awarded on June 4, 2013 will "cliff" vest on the third annual anniversary of the date that the performance goal is met. The performance goal was met on September 30, 2013 and therefore "cliff" vested 100% on September 30, 2016.
- (6) Includes 34.727 shares acquired through the Home BancShares, Inc. 401(k) Plan since the last filing.

- (7) Once the performance goal has been met, the Performance Stock Option awarded on August 24, 2015 will become exercisable in seven equal annual installments beginning on the first annual anniversary of the award date. The performance goal will be met as of the end of the calendar quarter when the Company has averaged \$0.3125 diluted earnings per share for four consecutive quarters or \$1.25 total diluted earnings per share over a period of four consecutive quarters.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.