STANGL SANDRA Form 4 April 20, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number:

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

obligations may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* STANGL SANDRA

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

RH [RH]

(First) (Middle) (Last) 3. Date of Earliest Transaction

(Month/Day/Year)

04/19/2018

Director 10% Owner X\_ Officer (give title Other (specify

(Check all applicable)

below) below) See Remarks

C/O RH, 15 KOCH ROAD, SUITE K

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

CORTE MADERA, CA 94925

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

3. 4. Securities Execution Date, if TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

5. Amount of Securities Beneficially (I) Owned Following (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4)

Reported (A) Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8 **Underlying Securities** (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	or Di (D) (Inst	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V (A	A) (I	D) Date Exercisa	able	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 88	04/19/2018		A	50,0	000	<u>(1)</u>	)	04/19/2028	Common Stock	50,000

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

STANGL SANDRA C/O RH 15 KOCH ROAD, SUITE K

See Remarks

CORTE MADERA, CA 94925

## **Signatures**

/s/ Edward T. Lee as Attorney-In-Fact

04/20/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This option award was granted to Ms. Stangl upon the one year anniversary of her employment with the Company pursuant to the terms of her offer of employment. This option award will vest and become exercisable over five years as follows: 15% of the options will vest on each of the first and second anniversaries of the vesting commencement date; 20% of the options will vest on the third anniversary of the vesting commencement date; and 25% of the options will vest on each of the fourth and fifth anniversary of the vesting commencement date, subject to continuous service on each vesting date, with the vesting commencement date of such option award being May 15, 2018.

#### Remarks:

President, Chief Merchandising & Business Development Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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