## Edgar Filing: ENERGY FOCUS, INC/DE - Form 4

ENERGY FO	CUS, INC/DE									
Form 4										
June 02, 2016	- )									
<b>FORM</b>	Δ								PPROVAL	
	UNITED 5	TATES SECUR Was	ITIES Al hington, 1			NGE (	COMMISSION	OMB Number:	3235-0287	
if no longe subject to Section 16	Section 16.				GES IN BENEFICIAL OWNERSHIP O SECURITIES				Expires: January 31 2005 Estimated average burden hours per	
Form 4 or Form 5 obligations may contin <i>See</i> Instruct 1(b).	Filed purs s Section 17(a	uant to Section 10 ) of the Public Ut 30(h) of the In-	ility Hold	ing Com	pany	Act o	f 1935 or Sectio	response n	0.5	
(Print or Type R	esponses)									
BLACK RONALD D Symbol			Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
			of Earliest Transaction				(Check all applicable)			
31020 KILGOUR DRIVE (Month/Da (Street) 4. If Amer			Month/Day/Year) 6/01/2016				_X_Director10% Owner Officer (give titleOther (specify below)below)			
			mendment, Date Original Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>			
WESTLAKE	Е, ОН 44145						Form filed by M Person	Nore than One Re	eporting	
(City)	(State) (2	Zip) Table	e I - Non-Do	erivative S	ecuri	ties Ac	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	Disposed (Instr. 3,	(A) of of (D 4 and (A) or	9) 5)	Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	06/01/2016		Code V M	Amount 2,500	(D) A	Price ( <u>1)</u>		D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0	06/01/2016		М		2,500	06/01/2016	06/01/2016	Common Stock	2,500

## Edgar Filing: ENERGY FOCUS, INC/DE - Form 4

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
BLACK RONALD D 31020 KILGOUR DRIVE WESTLAKE, OH 44145	Х							
Signatures								
/s/ Marcia J. Miller as Attorney-in-Fact	06/02/2016							
**Signature of Reporting Person	Date							

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted Stock Units convert into Common Stock on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.