### Edgar Filing: ENERGY FOCUS, INC/DE - Form 4

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17											
<b>14</b> UNITED	STATES	SECUI	RITIES A	AND EX	СНА	NGE	COMMISSIC	N T		PPROV	AL.
Washington, D.C. 20549								0.012			-0287
ger						1.01		Expir	es:	January 3	
Subject to									stimated average ourden hours per		0.5
ons Section 17(	a) of the H	Public U	tility Hol	ding Con	npany	y Act	of 1935 or Sect				
Responses)											
Luo Jiangang Syr				5. Relationship of Reporting Person(s) to Issuer							
(First)	- ILL: M				ן שכ	EFOIJ	(C	heck all ap	plicable	e)	
(Month			Day/Year)	ransaction		X_ Director 10% Owner Officer (give title Other (specify below) below)					
(Street) OK, NJ 07058				-	1		Applicable Line) _X_ Form filed I Form filed b	) by One Repo	orting Pe	erson	
(State)	(Zip)	Tab	le I - Non-I	Derivative	Secur	ities A <i>d</i>		l of or Be	neficial	llv Owne	d
2. Transaction Date (Month/Day/Year)	e 2A. Deemed		3. Transactio Code (Instr. 8)	4. Securit or(A) or Dis (D) (Instr. 3, 4	es Ac posed and 5 (A) or	quired l of 5)	5. Amount of Securities6.BeneficiallyForm: Form: OwnedOwnedDirect DirectFollowing Reportedor Ind (I) Transaction(s)		7. p In Bo	Nature o direct eneficial wnership	f
					, í						
06/15/2017			М	11,484	А	\$0	16,486	D			
							519,565	I	C G L fc Pr So T	leantech lobal imited, ormerly rime cience a echnolo	ınd
	his box lager STATEM 16. or Filed pur pus Section 17( Responses) Address of Reporting ng (First) ( LLE WAY (Street) OK, NJ 07058 (State) 2. Transaction Date	17 <b>A</b> 4       UNITED STATES         nis box       STATEMENT OF         16.       Filed pursuant to S         or       Section 17(a) of the F         30(h)       30(h)         Responses)       Section 17(a) of the F         Address of Reporting Person *       30(h)         Kirnet       (Kiret)         OK, NJ 07058       (Zip)         (State)       (Zip)         2. Transaction Date       2A. Deem         (Month/Day/Year)       A. Deem	17 A 4 UNITED STATES SECUL Wa is box iger o STATEMENT OF CHAN 16. or Filed pursuant to Section 1 Section 17(a) of the Public U Section 17(a) of the Public U 30(h) of the In Responses) Address of Reporting Person <sup>*</sup> 2. Issue ng Symbol ENERC (First) (Middle) 3. Date of (Month/I LLE WAY 06/15/2 (Street) 4. If Ame Filed(Mo OK, NJ 07058 (State) (Zip) Tab 2. Transaction Date 2A. Deemed (Month/Day/Year) EXECUTION Date, if any (Month/Day/Year)	17       A 4       UNITED STATES SECURITIES A Washington Washington         nis box       STATEMENT OF CHANGES IN SECUR         16.       SECUR         or       Filed pursuant to Section 16(a) of the Section 17(a) of the Public Utility Hol 30(h) of the Investment         Responses)       Address of Reporting Person <sup>*</sup> 2. Issuer Name and Symbol ENERGY FOCU         (First)       (Middle)       3. Date of Earliest T (Month/Day/Year)         LLE WAY       06/15/2017       (Street)       4. If Amendment, D Filed(Month/Day/Year)         OK, NJ 07058       (State)       (Zip)       Table I - Non-I Gange Code (Month/Day/Year)         2. Transaction Date       2A. Deemed       3. Code         (Month/Day/Year)       Code       Month/Day/Year)	Adverse of Reporting Person <sup>1</sup> / <sub>2</sub> 2. Issuer Name and Ticker or ng Symbol ENERGY FOCUS, INC/I (First) (Middle) 3. Date of Earliest Transaction (Konth/Day/Year) LLE WAY 06/15/2017 (Street) 2. Transaction Date 2A. Deemed 3. 4. Securiti (Month/Day/Year) (Instr. 3. 4. Securiti (Month/Day/Year) (Instr. 3. 4. Securiti (Month/Day/Year) (Instr. 3. 4. Securiti (Month/Day/Year) (Instr. 3. 4. Securiti	17       A 4       UNITED STATES SECURITIES AND EXCHANA Washington, D.C. 20549         is box       STATEMENT OF CHANGES IN BENEFICIAN SECURITIES         16, or       StateMent of changes in beneficial securities for securities and the public Utility Holding Company 30(h) of the Investment Company Action 30(h) of 15/2017         (Kitee)       (Zip)       Table I - Non-Derivative Securities Action 30(h) or 15/2017         (State)       (Zip)       Table I - Non-Derivativ	17       A 4       UNITED STATES SECURITIES AND EXCHANGE Washington, D.C. 20549         his box       STATEMENT OF CHANGES IN BENEFICIAL OV SECURITIES         on       STATEMENT OF CHANGES IN BENEFICIAL OV SECURITIES         ons       Section 17(a) of the Public Utility Holding Company Act of 19         ons       Section 17(a) of the Public Utility Holding Company Act of 19         Responses)       30(h) of the Investment Company Act of 19         Address of Reporting Person <sup>+</sup> 2. Issuer Name and Ticker or Trading Symbol ENERGY FOCUS, INC/DE [EFOI]         (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)         LLE WAY       06/15/2017         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)         OK, NJ 07058       State in the investion of the transaction (Month/Day/Year)         (Month/Day/Year)       1. A. Securities Acquired (Month/Day/Year)         OK, NJ 07058       3. A. Securities Acquired (Month/Day/Year)         (Month/Day/Year)       (Instr. 3, 4 and 5)         (A)       or         (Month/Day/Year)       (Instr. 3, 4 and 5)	17       14       UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549         is box       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES         on for       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940         Responses)       Address of Reporting Person 1       2. Issuer Name and Ticker or Trading Symbol       5. Relationship Issuer         Mddress of Reporting Person 2       2. Issuer Name and Ticker or Trading Symbol       5. Relationship Issuer         LLE WAY       06/15/2017       C(C)         (First)       (Middle)       3. Date of Earliest Transaction         (Kitee)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual o Applicable Line Z. Form filed b Person         (State)       (Zip)       Table I - Non-Derivative Securities Acquired Code (D)       5. Amount of Securities Beneficially         (Month/Day/Year)       3. 4. Securities Acquired 5. Amount of Code V Amount (D) Price (Instr. 3, 4 and 5)       5. Amount of Securities Beneficially         (Month/Day/Year)       Code V Amount (D) Price       (Instr. 3, 4 and 5)       Owned Following Reported (A)         (Month/Day/Year)       M       11,484       \$ \$ \$ 0       16,486	17       A       UNITED STATES SECURITIES AND EXCHANCE COMMISSION Washington, D.C. 20549       Main Subox Washington, D.C. 20549       Main Subox Securities       Statement of Changes in Beneficial Company Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Explanation Securities         Main Main Main Main Main Main Main Main	17       OMB AI Washington, D.C. 20549       OMB AI OMB Mumber: Express         18       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 16. or or       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 16. or or       Express         19       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       S. Relationship of Reporting Per Issuer         Responses)       Address of Reporting Person 1       2. Issuer Name and Ticker or Trading Symbol       S. Relationship of Reporting Per Issuer         Address of Reporting Person 1       2. Issuer Name and Ticker or Trading Symbol       S. Relationship of Reporting Per Issuer         (First)       (Middle)       3. Dat of Earliest Transaction (Month/Day/Year)       -X	17       A 4       UNTED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB APPROV.         16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Expire: Image: Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Expire: Image: Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       5. Relationship of Reporting Person(s) to Issuer         Responses)       Section 17(a) of the Public Utility Holding Company Act of 1940       5. Relationship of Reporting Person(s) to Issuer         Responses)       Address of Reporting Person 1       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (First)       (Middle)       3. Date of Bartiest Transaction (Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	ionDerivative Securities		tiorDerivative Expiration Date Securities (Month/Day/Year) ) Acquired (A) or Disposed of (D) (Instr. 3, 4,		e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0	06/15/2017		М		11,484	06/15/2017	06/15/2017	Common Stock	11,484	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Luo Jiangang 18 MICHELLE WAY PINE BROOK, NJ 07058	Х						
Signatures							
/s/ Michael H. Port as Attorney-in-Fact		06/17/2	017				
<u>**</u> Signature of Reporting Person		Date					

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted stock units convert into Common Stock on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.