Edgar Filing: ENERGY FOCUS, INC/DE - Form 4

ENERGY FO	CUS, INC/DE									
Form 4										
June 22, 2017									PPROVAL	
FORM	4 UNITED S	TATES SECUR Was				GE C	COMMISSION		3235-0287	
if no longe subject to Section 16 Form 4 or Form 5 obligations may contin	Section 16.SECURITIESForm 4 orForm 5Form 5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,obligationsSection 17(a) of the Public Utility Holding Company Act of 1935 or SectionSee Instruction30(h) of the Investment Company Act of 1940							Expires:January 31Expires:200Estimated averageburden hours perresponse0.4		
(Print or Type Re	esponses)									
Dorchak Glenda Symbol			er Name and Ticker or Trading GY FOCUS, INC/DE [EFOI]			OI]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 11565 OLD F	(Month/Da	3. Date of Earliest Transaction (Month/Day/Year) 06/21/2017				XDirector10% Owner Officer (give titleOther (specify below)below)				
			endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
LOS ALTOS	HILLS, CA 9402	24					Form filed by M Person	More than One R	eporting	
(City)	(State) (Z	Zip) Table	e I - Non-De	rivative S	ecuritio	es Acq	uired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3, 4	(A) or of (D) 4 and 5) (A))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock			Code V	Amount	or (D)		(Instr. 3 and 4) 13,984	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	tive Expiration Date (Month/Day/Year) red (A) posed of 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. l De Sec (In
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0	06/21/2017		А	18,443	<u>(1)</u>	<u>(1)</u>	Common Stock	18,443	

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Dorchak Glenda 11565 OLD RANCH ROAD LOS ALTOS HILLS, CA 94024	Х						
Signatures							
/s/ Michael H. Port as Attorney-in-Fact	06/22/2017						
**Signature of Reporting Person		Date					

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Restricted Stock Units fully vest on the earlier of (i) the one year anniversary of the grant date or (ii) the date of the Company's (1) annual meeting of stockholders next following the grant date.
- (2) Each Restricted Stock Unit represents a contingent right to receive one share of Energy Focus, Inc. Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.