Farkas Robert A.

Form 3

April 04, 201	.9											
FORM	13 <sup>UNI</sup>	ITED STA	ATES SECURITIES AND EXCHANGE COMMISSION					[ON	OMB APPROVAL			
Washington, D.C. 2054					D.C. 20549	0549			OMB Number:	3235-	0104	
		INITIAL S	TATEMENT O			OWNERSH	HP OF	<b>`</b>	Expires:	Januar	ry 31, 2005	
SECURITIES									Estimated average			
	Fil	ed pursuant	to Section 16(a)	of the Securities Exchange Act of 1934			<b>N</b> 4	burden hours per response 0.				
	Secti	• •	the Public Utility (h) of the Invest	•	C 1 7		5 or Se	ction				
(Print or Type R	Responses)											
1. Name and Address of Reporting Person <u>*</u> Farkas Robert A.			2. Date of Event Rec Statement (Month/Day/Year)		<sup>g</sup> 3. Issuer Name <b>and</b> Ticker or Trading Syn ENERGY FOCUS, INC/DE [EFO							
(Last)	(First)	(Middle)	04/01/2019		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)			nal	
32000 AUR									ĩ			
ROAD, SU	JITE B				(Спеск	all applicable	)					
	(Street)				X Director Officer (give title below	Othe	r	Filing(C	idual or Join Theck Applica m filed by On	ble Line)	~	
SOLON, C	DHÂ 4413	9			(grie the cerei	) (speen j eer		Person Forr	n filed by Mor g Person		-	
(City)	(State)	(Zip)	Tal	ble I - N	on-Derivati	ive Securit	ies Ben	eficial	lly Owned	L I		
1.Title of Security (Instr. 4)			Ben	2. Amount of Securities Beneficially Owned (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Natu Owner (Instr. :	-				
Reminder: Repowned directly	-		ch class of securities	s benefici	ally SI	EC 1473 (7-02	2)					
	inforı requi	nation conta red to respo	oond to the collec ained in this form nd unless the for MB control numb	are not m displa								
Т	able II - De	rivative Secu	rities Beneficially C	Owned (e.	g., puts, calls,	warrants, op	tions, co	nvertib	le securities	)		
1. Title of Deri (Instr. 4)	vative Secur	Expin	te Exercisable and ration Date <sup>(Day/Year)</sup>	Securiti	and Amount of es Underlying ive Security	4. Conversi or Exerci		vnership m of		e of Indire al Owners		

(Instr. 4)

Expiration Title

Date

Exercisable Date

Derivative

Security:

Direct (D)

or Indirect

(I)

Price of

Security

Amount or

Number of

Shares

Derivative

(Instr. 5)

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Farkas Robert A. 32000 AURORA ROAD SUITE B SOLON, OH 44139	ÂX	Â	Â	Â		
Signatures						
/s/ Anna M. Di Fiore, as Attorney-in-Fact	04/04/2019					
**Signature of Reporting Person	Date					

## **Explanation of Responses:**

## No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.