

Edgar Filing: DATA RACE INC - Form 4

DATA RACE INC
Form 4
February 12, 2001

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/ OMB APPROVAL /
/-----/
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| FORM 4 |
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U.S. SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form 5
obligations may
continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities
Exchange Act of 1934, Section 17(a) of the
Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person*

| | | |
|---------------------------|---------|----------|
| ----- | | |
| Frohman | Bradley | |
| ----- | ----- | ----- |
| (Last) | (First) | (Middle) |
| 6509 Windcrest, Suite 120 | | |
| ----- | | |
| (Street) | | |
| Plano | Texas | 75024 |
| ----- | ----- | ----- |
| (City) | (State) | (Zip) |

2. Issuer Name and Ticker or Trading Symbol DATA RACE, Inc. ("Race")

3. I.R.S. Identification Number of Reporting Person, if an entity
(voluntary)

4. Statement for Month/Year 12/2000

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

___ Director X Officer ___ 10% Owner ___ Other
 --- (give title below) (specify below)

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Vice President of Engineering and Development

7. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person

Form filed by More than One Reporting Person

Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 a | | |
|---------------------------------|--------------------------------------|--------------------------------|---|---|--------|--|------------|-------|
| | | | Code | V | Amount | | (A) or (D) | Price |
| Common Stock | 12/22/00 | P | | | 1,000 | A | \$1.16 | |
| Common Stock | 12/22/00 | P | | | 500 | A | \$1.19 | 1,500 |
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Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Transaction Date (Month/ | 4. Transaction Code (Instr. 8) |
|--|------------------------------------|-----------------------------|--------------------------------|
|--|------------------------------------|-----------------------------|--------------------------------|

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Deriv-
ative
Security

Day/
Year)

Code V

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 6. Date Exer- cisable and Expiration Date (Month/Day/ Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Deriv- ative Secur- ity (Instr. 5) | 9. Numbe of De rivative Secur ities Bene- ficia Owned at En d of Month (Inst |
|--|---|---|---|
| Date Exer- cisable | Expira- tion Date | Title | Amount or Number of Shares |

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Explanation of Responses:

| | |
|---------------------------------|--------|
| /s/ Bradley Frohman | 2/9/01 |
| ----- | ----- |
| **Signature of Reporting Person | Date |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If this form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.