

ALBEMARLE CORP
Form 3
January 03, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â ZUMSTEIN RONALD C
(Last) (First) (Middle)

ALBEMARLE
CORPORATION,Â 451
FLORIDA STREET

(Street)

BATON ROUGE,Â LAÂ 70801

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
12/30/2013

3. Issuer Name **and** Ticker or Trading Symbol
ALBEMARLE CORP [ALB]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
Sr VP, Mfg & Supply Chain

6. Individual or Joint/Group
Filing(Check Applicable Line)
X Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

8,593

D

Â

Common Stock

300

I

Children

Common Stock

15,177

I

Albemarle Savings Plan

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Non-Qualified Stock Option	Â <u>(1)</u>	03/11/2020	Common Stock	12,000	\$ 41.94	D	Â
Non-Qualified Stock Option	Â <u>(2)</u>	01/30/2021	Common Stock	6,333	\$ 56.16	D	Â
Non-Quaified Stock Options	Â <u>(3)</u>	02/24/2022	Common Stock	2,967	\$ 66.14	D	Â
Phantom Stock <u>(4)</u>	Â <u>(5)</u>	Â <u>(6)</u>	Common Stock	500	\$ <u>(7)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ZUMSTEIN RONALD C ALBEMARLE CORPORATION 451 FLORIDA STREET BATON ROUGE, LA 70801	Â	Â	Â Sr VP, Mfg & Supply Chain	Â

Signatures

/s/ Jacob B. Wilson,
Attorney-in-fact

01/02/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Stock Options granted on 3/12/2010. Stock Options vested in 3 equal installments over 3 years: 1/3 vested on 3/12/2011; 1/3 vested on 3/12/2012; and 1/3 vested on 3/12/2013.
- (2) Stock Options granted on 1/31/2011. Stock Options vest in 3 equal installments over 3 years: 1/3 vested on 1/31/2012; 1/3 vested on 1/31/2013; and 1/3 to vest on 3/12/2014.
- (3) Stock Options granted on 2/24/2012. Stock Options vest in 3 equal installments over 3 years: 1/3 vested on 2/24/2013; 1/3 to vest on 2/24/2014; and 1/3 to vest on 2/24/2015.
- (4) Phantom shares represent supplemental Savings Plan match.
- (5) No exercisable date.
- (6) No expiration date.
- (7) Converts 1 to 1 to Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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