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TRI-CONTINENTAL CORP

Form NSAR-B

February 28, 2012

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000 B000000 12/31/2011  
000 C000000 0000099614  
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000 I000000 6.1  
000 J000000 A  
001 A000000 TRI-CONTINENTAL CORPORATION  
001 B000000 811-00266  
001 C000000 6126715682  
002 A000000 225 FRANKLIN STREET  
002 B000000 BOSTON  
002 C000000 MA  
002 D010000 02110  
003 000000 N  
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006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC  
008 B000001 A  
008 C000001 801-25943  
008 D010001 BOSTON  
008 D020001 MA  
008 D030001 02110  
010 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC  
010 B000001 801-25943  
010 C010001 BOSTON  
010 C020001 MA  
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012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.  
012 B000001 84-05685  
012 C010001 BOSTON  
012 C020001 MA  
012 C030001 02266  
012 C040001 8081  
013 A000001 ERNST & YOUNG LLP  
013 B010001 MINNEAPOLIS  
013 B020001 MN  
013 B030001 55402  
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.  
014 B000001 8-25845  
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.  
014 B000002 8-42582  
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.  
014 B000003 8-16791  
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.  
014 B000004 8-67196  
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015 A000001 JPMORGAN CHASE BANK, N.A  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10005

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015 E010001 X  
015 A000002 AB SEB BANKAS  
015 B000002 S  
015 C010002 VILNIUS  
015 D010002 LITHUANIA  
015 E040002 X  
015 A000003 BANCO BCT, S.A.  
015 B000003 S  
015 C010003 SAN JOSE  
015 D010003 COSTA RICA  
015 E040003 X  
015 A000004 BANCO ITAU URUGUAY S.A.  
015 B000004 S  
015 C010004 MONTEVIDEO  
015 D010004 URUGUAY  
015 E040004 X  
015 A000005 BANCO NACIONAL DE MEXICO, S.A.  
015 B000005 S  
015 C010005 MEXICO CITY  
015 D010005 MEXICO  
015 E040005 X  
015 A000006 BANCO SANTANDER CHILE  
015 B000006 S  
015 C010006 SANTIAGO  
015 D010006 CHILE  
015 E040006 X  
015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.  
015 B000007 S  
015 C010007 WARSAW  
015 D010007 POLAND  
015 E040007 X  
015 A000008 BANK LEUMI LE-ISRAEL B.M.  
015 B000008 S  
015 C010008 TEL AVIV  
015 D010008 ISRAEL  
015 E040008 X  
015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.  
015 B000009 S  
015 C010009 TUNIS CEDEX  
015 D010009 TUNISIA  
015 E040009 X  
015 A000010 BARCLAYS BANK OF ZIMBABWE LIMITED  
015 B000010 S  
015 C010010 HARARE  
015 D010010 ZIMBABWE  
015 E040010 X  
PAGE 3  
015 A000011 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000011 S  
015 C010011 BRUSSELS  
015 D010011 BELGIUM  
015 E040011 X  
015 A000012 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000012 S  
015 C010012 PANTIN  
015 D010012 FRANCE  
015 E040012 X  
015 A000013 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000013 S  
015 C010013 MILAN  
015 D010013 ITALY  
015 E040013 X

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015 A000014 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000014 S  
015 C010014 HESPERANGE  
015 D010014 LUXEMBOURG  
015 E040014 X  
015 A000015 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000015 S  
015 C010015 AMSTERDAM  
015 D010015 NETHERLANDS  
015 E040015 X  
015 A000016 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000016 S  
015 C010016 LISBON  
015 D010016 PORTUGAL  
015 E040016 X  
015 A000017 CANADIAN IMPERIAL BANK OF COMMERCE  
015 B000017 S  
015 C010017 TORONTO  
015 D010017 CANADA  
015 E040017 X  
015 A000018 CITIBANK A.S.  
015 B000018 S  
015 C010018 ISTANBUL  
015 D010018 TURKEY  
015 E040018 X  
015 A000019 CITIBANK DEL PERU S.A.  
015 B000019 S  
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015 A000020 CITIBANK, N.A.  
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015 C010020 CAIRO  
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015 A000021 CITIBANK, N.A.  
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015 B000021 S  
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015 E040021 X  
015 A000022 DBS BANK LTD.  
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015 C010022 SINGAPORE  
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015 E040022 X  
015 A000023 DEUTSCHE BANK AG  
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015 C010023 ESCHBORN  
015 D010023 GERMANY  
015 E040023 X  
015 A000024 DEUTSCHE BANK AG  
015 B000024 S  
015 C010024 JAKARTA  
015 D010024 INDONESIA  
015 E040024 X  
015 A000025 DEUTSCHE BANK AG  
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015 C010025 LONDON  
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015 E040025 X  
015 A000026 DEUTSCHE BANK ZRT.

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015 B000026 S  
015 C010026 BUDAPEST  
015 D010026 HUNGARY  
015 E040026 X  
015 A000027 FIRSTRAND BANK LIMITED  
015 B000027 S  
015 C010027 JOHANNESBURG  
015 D010027 SOUTH AFRICA  
015 E040027 X  
015 A000028 HSBC BANK (CHINA) COMPANY LIMITED  
015 B000028 S  
015 C010028 SHANGHAI  
015 D010028 CHINA  
015 E040028 X  
015 A000029 HSBC BANK (VIETNAM) LTD.  
015 B000029 S  
015 C010029 HO CHI MINH CITY  
015 D010029 VIETNAM  
015 E040029 X  
015 A000030 HSBC BANK ARGENTINA S.A.  
015 B000030 S  
015 C010030 BUENOS AIRES  
015 D010030 ARGENTINA  
015 E040030 X  
015 A000031 HSBC BANK BERMUDA LIMITED  
015 B000031 S  
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015 C010031 HAMILTON  
015 D010031 BERMUDA  
015 E040031 X  
015 A000032 HSBC BANK MALAYSIA BERHAD  
015 B000032 S  
015 C010032 KUALA LUMPUR  
015 D010032 MALAYSIA  
015 E040032 X  
015 A000033 HSBC BANK MALTA P.L.C.  
015 B000033 S  
015 C010033 QORMI  
015 D010033 MALTA  
015 E040033 X  
015 A000034 HSBC BANK MIDDLE EAST LIMITED  
015 B000034 S  
015 C010034 AL SEEF  
015 D010034 BAHRAIN  
015 E040034 X  
015 A000035 HSBC BANK MIDDLE EAST LIMITED  
015 B000035 S  
015 C010035 AMMAN  
015 D010035 JORDAN  
015 E040035 X  
015 A000036 HSBC BANK MIDDLE EAST LIMITED  
015 B000036 S  
015 C010036 SAFAT  
015 D010036 KUWAIT  
015 E040036 X  
015 A000037 HSBC BANK MIDDLE EAST LIMITED  
015 B000037 S  
015 C010037 BEIRUT  
015 D010037 LEBANON  
015 E040037 X  
015 A000038 HSBC BANK MIDDLE EAST LIMITED  
015 B000038 S

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015 C010038 RUWI  
015 D010038 OMAN  
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015 A000039 HSBC BANK MIDDLE EAST LIMITED  
015 B000039 S  
015 C010039 RAMALLAH  
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015 E040039 X  
015 A000040 HSBC BANK MIDDLE EAST LIMITED  
015 B000040 S  
015 C010040 DOHA  
015 D010040 QATAR  
015 E040040 X  
015 A000041 HSBC BANK MIDDLE EAST LIMITED  
015 B000041 S  
015 C010041 DUBAI  
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015 D010041 UAE-ADX  
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015 A000042 HSBC BANK MIDDLE EAST LIMITED  
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015 E040042 X  
015 A000043 HSBC BANK MIDDLE EAST LIMITED  
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015 D010043 UAE-NASDAQ DUBAI  
015 E040043 X  
015 A000044 HSBC BANK PLC  
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015 C010044 ATHENS  
015 D010044 GREECE  
015 E040044 X  
015 A000045 HSBC SAUDI ARABIA LIMITED  
015 B000045 S  
015 C010045 RIYADH  
015 D010045 SAUDI ARABIA  
015 E040045 X  
015 A000046 ING BANK (EURASIA) ZAO  
015 B000046 S  
015 C010046 MOSCOW  
015 D010046 RUSSIA  
015 E040046 X  
015 A000047 ING BANK N.V.  
015 B000047 S  
015 C010047 SOFIA  
015 D010047 BULGARIA  
015 E040047 X  
015 A000048 ING BANK N.V.  
015 B000048 S  
015 C010048 BUCHAREST  
015 D010048 ROMANIA  
015 E040048 X  
015 A000049 ING BANK UKRAINE  
015 B000049 S  
015 C010049 KIEV  
015 D010049 UKRAINE  
015 E040049 X  
015 A000050 ISLANDSBANKI HF.  
015 B000050 S  
015 C010050 REYKJAVIK

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015 D010050 ICELAND  
015 E040050 X  
015 A000051 J.P. MORGAN AG  
015 B000051 S  
015 C010051 FRANKFURT  
015 D010051 GERMANY  
PAGE 7  
015 E040051 X  
015 A000052 J.P. MORGAN BANK INTERNATIONAL  
015 B000052 S  
015 C010052 MOSCOW  
015 D010052 RUSSIA  
015 E040052 X  
015 A000053 J.P. MORGAN S.A. DTVM  
015 B000053 S  
015 C010053 SAO PAULO  
015 D010053 BRAZIL  
015 E040053 X  
015 A000054 JPMORGAN CHASE BANK, N.A.  
015 B000054 S  
015 C010054 SYDNEY  
015 D010054 AUSTRALIA  
015 E040054 X  
015 A000055 JPMORGAN CHASE BANK, N.A.  
015 B000055 S  
015 C010055 MUMBAI  
015 D010055 INDIA  
015 E040055 X  
015 A000056 JPMORGAN CHASE BANK, N.A.  
015 B000056 S  
015 C010056 WELLINGTON  
015 D010056 NEW ZEALAND  
015 E040056 X  
015 A000057 JPMORGAN CHASE BANK, N.A.  
015 B000057 S  
015 C010057 TAIPEI  
015 D010057 TAIWAN  
015 E040057 X  
015 A000058 JPMORGAN CHASE BANK, N.A.  
015 B000058 S  
015 C010058 LONDON  
015 D010058 UNITED KINGDOM  
015 E040058 X  
015 A000059 MIZUHO CORPORATE BANK, LIMITED  
015 B000059 S  
015 C010059 TOKYO  
015 D010059 JAPAN  
015 E040059 X  
015 A000060 NORDEA BANK AB  
015 B000060 S  
015 C010060 STOCKHOLM  
015 D010060 SWEDEN  
015 E040060 X  
015 A000061 NORDEA BANK DANMARK A/S  
015 B000061 S  
015 C010061 TAASTRUP  
015 D010061 DENMARK  
015 E040061 X  
PAGE 8  
015 A000062 NORDEA BANK FINLAND PLC  
015 B000062 S  
015 C010062 HELSINKI

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015 D010062 FINLAND  
015 E040062 X  
015 A000063 NORDEA BANK NORGE ASA  
015 B000063 S  
015 C010063 OSLO  
015 D010063 NORWAY  
015 E040063 X  
015 A000064 PRIVREDNA BANKA ZAGREB D.D.  
015 B000064 S  
015 C010064 ZAGREB  
015 D010064 CROATIA  
015 E040064 X  
015 A000065 REPUBLIC BANK LIMITED  
015 B000065 S  
015 C010065 PORT OF SPAIN  
015 D010065 TRINIDAD AND TOBAGO  
015 E040065 X  
015 A000066 ROYAL BANK OF CANADA  
015 B000066 S  
015 C010066 TORONTO  
015 D010066 CANADA  
015 E040066 X  
015 A000067 SANTANDER INVESTMENT TRUST COLUMBIA S.A.  
015 B000067 S  
015 C010067 BOGOTA  
015 D010067 COLUMBIA  
015 E040067 X  
015 A000068 SANTANDER INVESTMENT, S.A.  
015 B000068 S  
015 C010068 MADRID  
015 D010068 SPAIN  
015 E040068 X  
015 A000069 SB HSBC BANK KAZAKHSTAN JSC  
015 B000069 S  
015 C010069 ALMATY  
015 D010069 KAZAKHSTAN  
015 E040069 X  
015 A000070 SOCIETE GENERALE  
015 B000070 S  
015 C010070 PARIS  
015 D010070 FRANCE  
015 E040070 X  
015 A000071 SOCIETE GENERALE DE BANQUES EN COTE D'IVOIRE  
015 B000071 S  
015 C010071 ABIDJAN  
015 D010071 IVORY COAST  
015 E040071 X  
015 A000072 SOCIETE GENERALE MAROCAINE DE BANQUES  
PAGE 9  
015 B000072 S  
015 C010072 CASABLANCA  
015 D010072 MOROCCO  
015 E040072 X  
015 A000073 STANBIC IBTC BANK PLC  
015 B000073 S  
015 C010073 LAGOS  
015 D010073 NIGERIA  
015 E040073 X  
015 A000074 STANDARD BANK LIMITED, MALAWI  
015 B000074 S  
015 C010074 BLANTYRE  
015 D010074 MALAWI

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015 E040074 X  
015 A000075 STANDARD BANK NAMIBIA LIMITED  
015 B000075 S  
015 C010075 WINDHOEK  
015 D010075 NAMIBIA  
015 E040075 X  
015 A000076 STANDARD CHARTERED BANK  
015 B000076 S  
015 C010076 DHAKA  
015 D010076 BANGLADESH  
015 E040076 X  
015 A000077 STANDARD CHARTERED BANK (PAKISTAN) LIMITED  
015 B000077 S  
015 C010077 KARACHI  
015 D010077 PAKISTAN  
015 E040077 X  
015 A000078 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD  
015 B000078 S  
015 C010078 BANGKOK  
015 D010078 THAILAND  
015 E040078 X  
015 A000079 STANDARD CHARTERED BANK BOTSWANA LIMITED  
015 B000079 S  
015 C010079 GABORONE  
015 D010079 BOTSWANA  
015 E040079 X  
015 A000080 STANDARD CHARTERED BANK GHANA LIMITED  
015 B000080 S  
015 C010080 ACCRA  
015 D010080 GHANA  
015 E040080 X  
015 A000081 STANDARD CHARTERED BANK KENYA LIMITED  
015 B000081 S  
015 C010081 NAIROBI  
015 D010081 KENYA  
015 E040081 X  
015 A000082 STANDARD CHARTERED BANK UGANDA LIMITED  
015 B000082 S  
PAGE 10  
015 C010082 KAMPALA  
015 D010082 UGANDA  
015 E040082 X  
015 A000083 STANDARD CHARTERED BANK ZAMBIA PLC  
015 B000083 S  
015 C010083 LUSAKA  
015 D010083 ZAMBIA  
015 E040083 X  
015 A000084 STANDARD CHARTERED FIRST BANK KOREA LIMITED  
015 B000084 S  
015 C010084 SEOUL  
015 D010084 SOUTH KOREA  
015 E040084 X  
015 A000085 SWEDBANK AS  
015 B000085 S  
015 C010085 TALLINN  
015 D010085 ESTONIA  
015 E040085 X  
015 A000086 SWEDBANK AS  
015 B000086 S  
015 C010086 RIGA  
015 D010086 LATVIA  
015 E040086 X



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015 A000087 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED  
015 B000087 S  
015 C010087 TOKYO  
015 D010087 JAPAN  
015 E040087 X  
015 A000088 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
015 B000088 S  
015 C010088 KOWLOON  
015 D010088 HONG KONG  
015 E040088 X  
015 A000089 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
015 B000089 S  
015 C010089 EBENE  
015 D010089 MAURITIUS  
015 E040089 X  
015 A000090 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
015 B000090 S  
015 C010090 TAGUIG CITY  
015 D010090 PHILIPPINES  
015 E040090 X  
015 A000091 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
015 B000091 S  
015 C010091 COLOMBO  
015 D010091 SRI LANKA  
015 E040091 X  
015 A000092 UBS AG  
015 B000092 S  
015 C010092 ZURICH  
PAGE 11  
015 D010092 SWITZERLAND  
015 E040092 X  
015 A000093 UNICREDIT BANK AUSTRIA AG  
015 B000093 S  
015 C010093 VIENNA  
015 D010093 AUSTRIA  
015 E040093 X  
015 A000094 UNICREDIT BANK CZECH REPUBLIC A.S.  
015 B000094 S  
015 C010094 PRAGUE  
015 D010094 CZECH REPUBLIC  
015 E040094 X  
015 A000095 UNICREDIT BANK SLOVAKIA A.S.  
015 B000095 S  
015 C010095 BRATISLAVA  
015 D010095 SLOVAK REPUBLIC  
015 E040095 X  
015 A000096 UNICREDIT BANK SRBIJA A.D.  
015 B000096 S  
015 C010096 BELGRADE  
015 D010096 SERBIA  
015 E040096 X  
015 A000097 UNICREDIT BANKA SLOVENIJA D.D.  
015 B000097 S  
015 C010097 LJUBLJANA  
015 D010097 SLOVENIA  
015 E040097 X  
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019 C000000 COLUMBIAFD  
020 A000001 BARCLAYS CAPITAL  
020 C000001 66

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020 A000002 UBS SECURITIES LLC  
020 B000002 13-3873456  
020 C000002 17  
020 A000003 INSTINET LLC  
020 B000003 13-3443395  
020 C000003 12  
020 A000004 GOLDMAN, SACHS & CO.  
020 B000004 13-5108880  
020 C000004 11  
020 A000005 J.P. MORGAN SECURITIES, INC.  
020 B000005 13-3224016  
020 C000005 8  
020 A000006 CREDIT SUISSE SECURITIES (USA) L.L.C.  
020 B000006 13-5659485  
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020 A000007 LIQUIDNET, INC.  
020 B000007 13-4095933  
020 C000007 3  
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020 A000008 MORGAN STANLEY  
020 B000008 13-2655998  
020 C000008 2  
020 A000009 DEUTSCHE BANK SECURITIES INC.  
020 B000009 13-2730828  
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020 A000010 COWEN & CO., LLC  
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022 A000001 DEUTSCHE BANK SECURITIES INC.  
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022 A000002 MORGAN STANLEY  
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022 B000003 13-5108880  
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022 A000004 J.P. MORGAN SECURITIES, INC.  
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022 A000005 BARCLAYS CAPITAL  
022 C000005 17422  
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022 A000006 SCHWAB CAPITAL MARKETS L.P.  
022 B000006 94-3351075  
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022 B000007 13-2642206  
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022 A000008 UBS SECURITIES LLC  
022 B000008 13-3873456  
022 C000008 8417  
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022 A000009 CREDIT SUISSE SECURITIES (USA) L.L.C.  
022 B000009 13-5659485

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022 C000009 7994  
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022 A000010 CITIGROUP GLOBAL MARKETS INC.  
022 B000010 11-2418191  
022 C000010 4922  
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024 000000 Y  
025 A000001 Franklin Resources, Inc.  
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025 A000002 JPMorgan Chase & Co.  
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054 O000000 Y  
055 A000000 N  
055 B000000 N  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y  
061 000000 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 Y  
066 F000000 N  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
PAGE 16  
069 000000 N  
070 A010000 Y  
070 A020000 Y  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 Y  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 Y  
070 I020000 N  
070 J010000 Y  
070 J020000 Y

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070	K010000	Y	
070	K020000	Y	
070	L010000	Y	
070	L020000	Y	
070	M010000	Y	
070	M020000	N	
070	N010000	Y	
070	N020000	Y	
070	O010000	Y	
070	O020000	N	
070	P010000	Y	
070	P020000	N	
070	Q010000	Y	
070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		1092536
071	B000000		1148160
071	C000000		1115317
071	D000000		98
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072	B000000		894
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072	D000000		0
072	E000000		0
072	F000000		3957
072	G000000		632
072	H000000		0
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072	J000000		20
	PAGE	17	
072	K000000		63
072	L000000		0
072	M000000		31
072	N000000		0
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072	P000000		0
072	Q000000		0
072	R000000		93
072	S000000		25
072	T000000		0
072	U000000		0
072	V000000		282
072	W000000		232
072	X000000		6413
072	Y000000		0
072	Z000000		21272
072AA	000000		109500
072BB	000000		0
072CC	010000		0
072CC	020000		62345
072DD	010000		0
072DD	020000		0
072EE	000000		0
073	A010000		0.2750
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073	B000000		0.0000
073	C000000		0.0000
074	A000000		0
074	B000000		62245
074	C000000		33996
074	D000000		249799

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074	E000000	53828
074	F000000	775801
074	G000000	0
074	H000000	0
074	I000000	26425
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074	L000000	13023
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074	O000000	1597
074	P000000	205
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	97604
074	S000000	0
074	T000000	1115797
074	U010000	0
	PAGE	18
074	U020000	0
074	V010000	16.77
074	V020000	0.00
074	W000000	0.0000
074	X000000	18774
074	Y000000	0
075	A000000	0
075	B000000	1090458
076	000000	14.23
077	A000000	Y
077	B000000	Y
077	C000000	N
077	D000000	N
077	E000000	Y
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	ICI Mutual Insurance Company
080	B000000	N/A
080	C000000	5000
081	A000000	Y
081	B000000	6
082	A000000	N
082	B000000	0
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y

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085 B000000 N  
086 A010000 130  
086 A020000 1839  
086 B010000 2779  
086 B020000 39503  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
PAGE 19  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 TRI-CONTINENTAL - COMMON STOCK  
087 A020000 895436103  
087 A030000 TY  
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK  
087 B020000 895436202  
087 B030000 TY  
087 C010000 TRI-CONTINENTAL - WARRANT  
087 C020000 895436111  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 Y  
SIGNATURE SEE BELOW  
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: February 21, 2012

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

\_\_\_\_\_  
Michael G. Clarke  
Treasurer

/s/ Wade M. Voigt

\_\_\_\_\_  
Wade M. Voigt  
Assistant Treasurer  
Witness

TRI-CONTINENTAL CORPORATION