## Edgar Filing: DELLAQUILA FRANK J - Form 4

DELLAQUII Form 4 November 08	LA FRANK J 8. 2018											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL				
	• • UNITED S	TATES						NGE (	COMMISSION	OMB Number:	3235-0287	
Check thi if no long subject to Section 1 Form 4 or Form 5		Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWN SECURITIES							Expires: January 31 2005 Estimated average burden hours per response 0.5			
obligation may conti <i>See</i> Instru 1(b).	ns Section 17(a)	) of the P	ublic Ut		ling C	lom	pany	Act of	e Act of 1934, f 1935 or Sectio 40	n		
(Print or Type R	Responses)											
DELLAQUILA FRANK J <sub>Sy</sub> E			2. Issuer Name <b>and</b> Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer			
			EMERSON ELECTRIC CO [EMR]						(Check all applicable)			
(Mo				3. Date of Earliest Transaction (Month/Day/Year) 11/06/2018					Director 10% Owner X Officer (give title Other (specify below) below) Senior Exec. VP and CFO			
				Amendment, Date Original Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>			
(City)	(State) (Z	Zip)	Table	e I - Non-D	) erivati	ive S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	Date, if	3. Transactic Code (Instr. 8)	on(A) c (D) (Instr	r Dis :. 3, 4	posed	l of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	11/06/2018			A <u>(1)</u>	26,6	75	А	<u>(2)</u>	213,266	D		
Common Stock									243	Ι	401(k) plan	
Common Stock									1,216	Ι	401(k) excess plan	
Common Stock									8,442	Ι	Spouse	
									56,486	Ι		

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Common Stock									Gift (3)	D 2012 t Trust D 2012	
Common Stock						75,315	Ι			t Trust	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number. Teth: U. Duringtic function for each class of securities beneficially owned directly or indirectly.											
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of	5	ate	Under Securi	unt of erlying rities r. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Reporting Owners											
Reporti	ing Owner Na	ıme / Address		Relat	tionships						
		Γ	Director 10% Owne	er Officer	r		Other				

DELLAQUILA FRANK J C/O EMERSON ELECTRIC CO. 8000 W. FLORISSANT AVENUE ST. LOUIS, MO 63136

Senior Exec. VP and CFO

## Signatures

/s/ John A. Sperino, as Attorney-in-Fact for Frank J. Dellaquila	11/08/2018
**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Acquisition of 26,675 shares pursuant to Rule 16b-3 upon payout of 48,500 earned units under a performance share award under a shareholder-approved benefit plan. The payout was based on the level of achievement of financial targets for the performance period

- (1) shareholder approved bench plan. The payout was based on the rever of achievement of inhaleral targets for the performance period ended September 30, 2018. Of these 48,500 units, 26,675 units were paid in shares of Issuer stock, with the remaining 21,825 units paid in cash to cover the reporting person's tax obligations.
- (2) Price is not applicable to acquisitions described in Note 1.
- (3) Grantor trust for the Reporting Person with the Reporting Person's spouse and descendents as its beneficiaries. The Reporting Person is the trustee of The FJD 2012 Gift Trust.
- (4) Grantor trust for the Reporting Person's spouse with the Reporting Person's descendents as its beneficiaries. The Reporting Person and the Reporting Person's spouse are co-trustees of The SRD 2012 Gift Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.