

WALLACE TIMOTHY R
Form 4
January 02, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WALLACE TIMOTHY R

2. Issuer Name and Ticker or Trading Symbol
TRINITY INDUSTRIES INC
[NYSE/TRN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
2525 N. STEMMONS FREEWAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/30/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, CEO & President

DALLAS, TX 75207

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|-----------|
| Common Stock | | | | (A) or (D) | Price | | | |
| Common Stock | 12/30/2018 | | F | 3,541 (1) | D \$ 20.43 | 2,029,181 (2) | D | |
| Common Stock | 12/30/2018 | | F | 5,902 (1) | D \$ 20.43 | 2,023,279 (2) | D | |
| Common Stock | 12/30/2018 | | F | 11,805 (1) | D \$ 20.43 | 2,011,474 (2) | D | |
| Common Stock | 12/30/2018 | | F | 11,805 (1) | D \$ 20.43 | 1,999,669 (2) | D | |
| | | | | | | 3,878 | I | 401k Plan |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| WALLACE TIMOTHY R 2525 N. STEMMONS FREEWAY DALLAS, TX 75207 | X | | Chairman, CEO & President | |

Signatures

Christina Maldonado For: Timothy R. Wallace 01/02/2019

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On December 30, 2018, the reporting person turned 65 years old and, as a result, met the definition for early retirement on December 30, 2018 with respect to 84,000 shares of restricted stock. The personal income tax associated with the lapse of substantial risk of forfeiture with respect to those shares is being satisfied by the reporting person through surrender of restricted shares or mature previously owned shares based on the appropriate federal income and payroll tax rates currently applicable.

(2) On November 1, 2018, the spin-off of Arcosa, Inc. resulted in the reporting person's ownership of 319,083 shares of additional stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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