#### EDWARDS DAWN N

Form 4

February 12, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

Number:

3235-0287

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

subject to **SECURITIES** Section 16. Form 4 or

Estimated average burden hours per response... 0.5

Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Common

Stock

(Print or Type Responses)

(Time of Type I	(Caponaea)										
1. Name and Address of Reporting Person * EDWARDS DAWN N			2. Issuer Name <b>and</b> Ticker or Trading Symbol BARNES GROUP INC [B]					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First) (M	(liddle)	3. Date of	Earliest Tr	ansaction			(CI	теск ин ирриси	oi <b>c</b> )	
			(Month/D	av/Year)				Director	1	0% Owner	
BARNES G STREET	ROUP INC., 123	MAIN	02/08/20	•				_X_ Officer (g below) SVF	below) P, Human Resou	Other (specify arces	
		4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
BRISTOL,		(7in)	,	nth/Day/Year				Person	by One Reporting y More than One	Reporting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities A	equired, Disposed	of, or Benefic	ially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	ned n Date, if Day/Year)	3. Transactic Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3,	(A) o of (D	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/08/2018			A(1)	1,600	A	\$0	60,032.37 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

15,056.92

Ι

by

Company's

401(k) Plan

### Edgar Filing: EDWARDS DAWN N - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option-Right to Buy	\$ 59.28	02/08/2018		A	4,900	(3)	02/08/2028	Common Stock	4,900

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

EDWARDS DAWN N BARNES GROUP INC. 123 MAIN STREET BRISTOL, CT 06010

SVP, Human Resources

## **Signatures**

William K. Piotrowski, pursuant to a Power of Attorney

02/12/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person has received 1,600 Restricted Stock Units that are subject to forfeiture if certain events occur.
- (2) Includes balances of 799 Restricted Stock Units granted 2/11/2015, 1733 granted 2/10/2016 and 1900 granted 2/8/2017, that are subject to forfeiture if certain events occur.
- (3) The options vest 33.334% on the 18th month and 33.333% on the 30th and 42nd months from the grant date.
- **(4)** 1 for 1.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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